FWA #: **FWA00004071**

Institution: **University of California, Irvine**

Expires: **11/08/2021**

OMB No. 0990-0278
Approved for use through August 31, 2017

Federalwide Assurance (FWA)
for the Protection of Human Subjects

1. Institution Filing Assurance

Legal Name: **University of California, Irvine**

City: **Irvine** State/Province: **CA** Country: **USA**

2. Institutional Components

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (*) any alternate names under which the Institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below.

Name of Component or Alternate Names Used	City	State (or Country if Outside U.S.)	
UCI Campus	**Irvine**	CA	**A**
UCI Medical Center	**Orange**	CA	**A**
UCI Family Health Center	**Santa Ana**	CA	**A**
UCI Family Health Center	**Anaheim**	CA	**A**
UCI Women's Health Care Center	**Costa Mesa**	CA	**A**
Pacific Breast Care Center	**Costa Mesa**	CA	**A**
UCI Health Community Cancer Network - Newport Associates	**Costa Mesa**	CA	**A**

3. Statement of Principles

This Institution assures that all of its activities related to human subjects research, regardless of the source of support, will be guided by the following statement of principles governing the institution in the discharge of its responsibilities for protecting the rights and welfare of human subjects of research conducted at or sponsored by the institution. (indicate below)

The Belmont Report

4. Applicability

(a) This Assurance applies whenever this Institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

(b) Optional: This Institution elects to apply the following to all of its human subjects research regardless of the source of support, except for research that is covered by a separate assurance:

5. Assurance of Compliance with the Terms of the Federalwide Assurance

(a) This Institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance (contained in a separate document on the Office for Human Research Protections (OHRP) website).

6. Designation of Institutional Review Boards (IRBs)

This Institution assures that it will rely upon only IRBs registered with OHRP for review of research to which this FWA applies. This institution (a) designates the following internal IRB(s) for review of research under this Assurance; or (b) does not have an internal IRB and designates the following external IRB for review of all research to which this FWA applies or, if multiple external IRBs are relied upon, the following external IRB that reviews the largest percentage of research to which this FWA applies.

NOTE: Institutions designating internal IRBs do not need to designate any of the external IRBs upon which it relies.

HHS IRB Registration Number	Name of IRB as Registered with HHS	Is the IRB Internal or External to the Institution?
IRB00000008	National Insts Hlth - NICHD - IRB #8	E
IRB00000109	Harvard U Faculty Arts & Science IRB #1	E
IRB00000172	U of California Los Angeles-MIRB1 General Biomedical IRB #1 - General Biomedical	E
IRB00000173	U of California Los Angeles-MIRB2 Cancer/Infectious Disease IRB #2	E
IRB00000174	U of California Los Angeles-North General Social-Behavior IRB #3	E
IRB00000195	U of California Riverside IRB #1 - Social/Behavioral	E
IRB00000229	Parnassus Committee IRB #1	E
IRB00000230	San Francisco General Hosp (SFGH) Committee IRB #2	E
IRB00000266	U California Santa Cruz IRB #1	E
IRB00000307	U California Santa Barbara IRB #1	E
IRB00000353	U of California San Diego IRB #1B - Committee B	E
IRB00000354	U of California San Diego IRB #1A - Committee A	E
IRB00000355	U of California San Diego IRB #2 - Committee S	E
IRB00000393	U of California, Irvine IRB #1 - Biomedical	I
IRB00000394	U of California, Irvine IRB #2 - Biomedical	I
IRB00000395	U of California, Irvine IRB #3 - Social Behavioral	I
IRB00000403	Kaiser Foundation Southern California Region IRB #3	E
IRB00000425	Clinical Committee A - UC Davis	E
IRB00000426	Clinical Committee B - UC Davis	E
IRB00000455	U of California Berkeley IRB #1 - CPHS-I	E
IRB00000552	California Hlth & Human Services Agency IRB #1 - State Govt	E
IRB00000561	Lawrence Livermore Natl Lab IRB #1	E

IRB00000781	**National Cancer Inst Central IRB #1 (Adult)**	**E**
IRB00001166	**Children's Hosp Orange County IRB #1 - In-House**	**E**
IRB00001182	**Children's Hosp Orange County IRB #2 - Industry Track**	**E**
IRB00002758	**U of California San Diego IRB #4 - Committee C**	**E**
IRB00003023	**Social & Behavioral Committee -C, UC Davis**	**E**
IRB00003471	**Laurel Heights Committee(Lhts) IRB #3**	**E**
IRB00004296	**National Cancer Inst Central IRB #2 (Pediatric)**	**E**
IRB00004473	**U of California Los Angeles-Neuroscience MIRB3 IRB #4**	**E**
IRB00004474	**U of California Los Angeles-South General IRB #5**	**E**
IRB00005096	**U of California San Francisco IRB #4 - Mt. Zion**	**E**
IRB00005610	**U of California Berkeley IRB #2 - CPHS-II**	**E**
IRB00006282	**U of California, Merced IRB #1**	**E**
IRB00008624	**University of California, Irvine (UCI) IRB #4**	**I**

7. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)

First Name: **Karen** Middle Initial: **A** Last Name: **Allen**

Degrees or Suffix: **M.A., C.I.P.** Institutional Title: **Executive Director, Research Protections**

Institution: **University of California, Irvine**

Telephone: **949 824-1558** FAX: **949 824-1465** E-Mail: **kallen@uci.edu**

Address: **Office of Research**
141 Innovation, Suite 250

City: **Irvine** State/Province: **CA** Country: **USA**

8. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)

I have read and agree to the Terms of the Federalwide Assurance.

I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human subject protections will help ensure that the requirements of this Assurance are satisfied.

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) that this institution relies upon will comply with the Terms of the Federalwide Assurance when reviewing research covered by this Assurance and possess appropriate knowledge of the local context in which this Institution's research will be conducted.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: **Pramod P Khargonekar Ph.D.**

Date: **11/07/2016**

First Name: **Pramod** Middle Initial: **P** Last Name: **Khargonekar**

Degrees or Suffix: **Ph.D.** Institutional Title: **Vice Chancellor for Research**

Institution: **University of California, Irvine**

Telephone: **949 824-5796** FAX: **949 824-2095** E-Mail: **pkhargon@uci.edu**

Address: **Office of Research**
160 Aldrich Hall

City: **Irvine** State/Province: **CA** Country: **USA**

9. FWA Approval

The Federalwide Assurance for the Protection of Human Subjects for Institutions Within the United States submitted to HHS by the above Institution is hereby approved.

Assurance Number: **FWA00004071** Expiration Date: **11/08/2021**

Signature of HHS Approving Official: **Gail Holloway** Date: **11/08/2016**