Conflict of Interest (COI) Updates

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Form 700U at Award Stage
Implemented 3/24/16

Form 700Us are collected at notice of award (NOA) not at proposal submission to facilitate the proposal submission process.

• SPA/Industry Officers will contact the Principal Investigator (and Co-Principal Investigator if applicable) for Form 700U(s) at NOA.

Exception: Industry sponsored research projects will continue to upload Form 700Us into Kuali Coeus (KC) at proposal stage.
Which procedure to follow?

Do you know if the proposal **will be awarded** as you’re submitting the proposal?

**VS.**

Yes- upload Form 700U in KC with proposal submission

No- submit Form 700U when receive notice of award
Updated COI Questions in KC

Is the sponsor or prime sponsor a non-governmental entity that is not on the exempt sponsor list?

Yes

– Has this proposal been awarded or is it for industry sponsored research including clinical trials?

Yes

• Please upload a completed and signed Form 700U for the Principal Investigator (and Co-Principal Investigator if applicable) and answer the following question:

Did the Principal Investigator or Co-Principal Investigator disclose a financial interest on their uploaded Form 700U(s)?
Startup Guide for Employee Inventors

Office of Research in collaboration with multiple offices across campus created a Startup Guide to provide information and resources to individuals interested in creating a company related to UC intellectual property including:

- Key questions to consider
- Campus contacts
- Related UC policies
Future Improvements

• With the KC COI implementation, our office will implement a new Annual Form 810 to reduce the amount of forms PHS Investigators have to complete each year.
  – The KC COI Training for PHS Investigators will also include training on the new procedures related to the new Annual Form 810.

• The Startup Companies Working Group will continue developing resources and revising the Startup Guide based on campus feedback.
COI Resources

• COI Website:  

• Contacts:
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