

KC COI Tip #7: In COI Questionnaire, Answer “No” to First Question if Sponsor is on Exempt List

Conflict of Interest (Incomplete) [hide](#)

[hide](#) Questions [print](#)

Is the sponsor or prime sponsor a non-governmental entity that is not on the [exempt sponsor list](#)? [More Information...](#)

Yes No

Are there any subrecipients that do **NOT** have an active and/or enforced conflict of interest policy and will comply with UCI's policy? If yes, please attach financial disclosures for all subrecipient Investigators. Subrecipient Investigators on PHS compliant projects must complete the [Form 800SR](#). Subrecipient Investigators on NSF compliant projects must complete the [Form 900SR](#). [More Information...](#)

Yes No

If the sponsor or prime sponsor is PHS/NSF or an [organization adopting PHS](#) or NSF policies, are there any non-UCI Investigators on this project, excluding subrecipient personnel? If yes, please attach financial...

In the Questions Tab, Conflict of Interest Questionnaire, follow the [exempt sponsor list](#) link to determine if your sponsor is on this list. If so, it is exempt from 700-U form requirement, and you should answer “No” to the first question.

Click on “[More Information...](#)” for additional guidance and links to policy.

List of Non-governmental Entities Exempt From Disclosure Requirement

The research sponsors listed below are approved by the University and the [Fair Political Practices Commission](#) for exemption from the University's non-governmental entity financial disclosure requirement. All non-profit, tax-exempt educational institutions are exempt from this requirement.

- Principal investigators are not required to fill out [700-U \(pdf\)](#) financial disclosure statements for research that is sponsored by these organizations.
- The sponsors **bolded** below have adopted the federal [Public Health Services \(PHS\) disclosure rule \(pdf\)](#) and require financial disclosures as specified by those federal requirements.
- American Association of Colleges of Pharmacy
- American Association of Retired Persons
- **American Cancer Society**
- American Chemical Society

However, take note if the sponsor is displayed as **bolded** on the list. If the sponsor is **bolded**, this means that while a 700-U form is not required, the sponsor has adopted the PHS disclosure rule, and therefore, the proposal must comply with the [Annual Disclosure](#) process. Your answer to the first question should still remain “No.” You should add all Investigators* to the Personnel Tab.

*All individuals "with responsibility for the design, conduct or reporting of the research."