

eRA Update

Barbara Inderwiesche, Assistant Director, eRA

Alison Yeung, Business Analyst

Jonathan Lew, eRA Officer

Last KC Deploy – 10/21/2015

- Change in routing rules routes proposals to groups

Future Action Requests ▼ hide		
	Action	Requested Of
▶ show	PENDING FYI	Federal Officers
▶ show	PENDING APPROVE	LAWRENCE, DEBRA (Chair Approval) ALLEN, LUCAS (Chair Approval)
▶ show	PENDING APPROVE	LAWRENCE, DEBRA (Dean Approval) LAWRENCE, DEBRA (Dean Approval)
▶ show	PENDING APPROVE	Federal Officers

Unit Name	Group	Reviewing Officer	Final SOW?
GY	Federal Officers	RAMIREZ, JASMIN	No
EVO BIO	Federal Officers	GAINES, ROCQUAEL	Yes
RVICES	Industry Clinical Trial Officers	KARCHMER, ANGELA	No

- SPA Departmental Assignment Website

Sponsored Projects Departmental Assignment

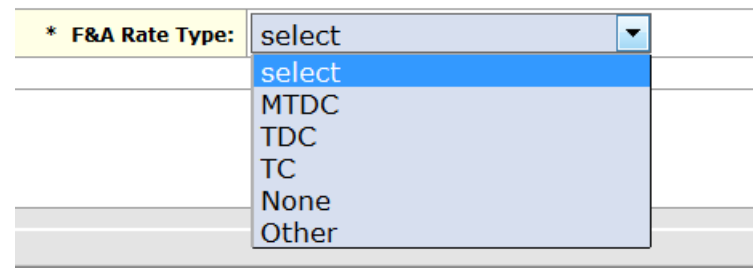
Please select a department from the dropdown below to see staff information.

BIO SCI-DEV & CELL BIO ▼

NAME	PHONE	TITLE	EMAIL
JEFFREY WARNER	(949) 824-3171	Principal Officer	warnerj@uci.edu
SHELLEY SCALLAN	(949) 824-2644	Federal Officer	sscallan@uci.edu

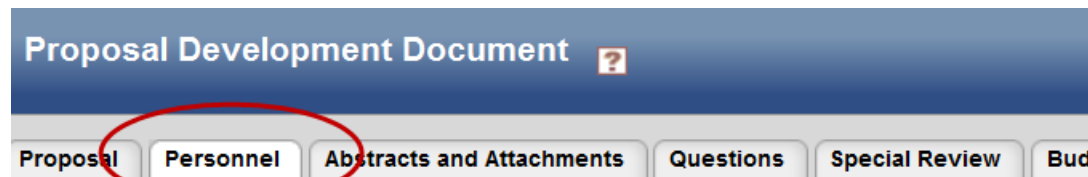
Next KC Deploy – Next Wednesday: December 16, 2015

- F&A Rate Type
 - Added “none”
 - Default “select” and a required field



A screenshot of a web form field labeled "* F&A Rate Type:". The field is a dropdown menu with a blue arrow on the right. The dropdown is open, showing a list of options: "select" (highlighted in blue), "MTDC", "TDC", "TC", "None", and "Other".

- Renamed Key Personnel tab to Personnel



▪ Document was successfully saved.

Next KC Deploy – Next Wednesday: December 16, 2015

- New roles for PHS proposals
 - PI/Contact
 - PI/Multiple
 - Co-Investigator
 - Key Person

– Only PI's have to certify/approve. All other roles do not.
- New roles for Other Sponsors
 - Principal Investigator
 - Co-PI
 - Key Person

– Only Principal Investigators have to certify/approve. All other roles do not.
- Dynamic definitions of personnel roles based on sponsor

Drag and Drop

- An option for attaching documents in KC (demo)

KC Project Updates

Proposal Cleanup Project

- “Finalize” process
 - What is this and why is it important?
 - Data Warehouse, department numbers, UCOP reporting
- Proposals currently not finalized
 - Check your Action List!
- Developing regular processes for followup

Research Administration Data Warehouse

- Research Administration data in Cognos
 - Similar to Decision Support Services (DSS)
- Converting Annual Reports
- Identifying common report requests and campus needs
- Converting current data warehouse (sponsored projects adhoc queries) to Cognos
- Research Administration data dashboard
- Timeline: Now-06/30/2017

KC Award and Subaward Modules

- Fit gap analysis and gathering business process flows
- Integration with KC Proposal Development
- Integration with KFS
- Will track reporting requirements, terms and conditions, funding levels, detailed budget
- Timeline: Now – 06/30/2017

KC Negotiation Module

- Purpose
 - Status of award/agreement processing
 - Track receipt date, status, negotiation start and end dates, etc
 - Faculty, management, and administrators
 - Integration with KC Proposal Development and KC Award
- Status
 - 01/01/2016-03/30/2016: Pilot with SPA Officers and Management and create SOPs
 - 04/01/2016: Campus communication and training

KC Conflict of Interest Module

- Phase I:
 - New PHS and NSF Proposals
 - Continuing PHS Awards
 - Removing COI forms 800 and 900
 - Investigators will be prompted to answer “screening questionnaire” in KC COI in lieu of filling out, signing and submitting the COI form 800 or 900
- Timeline: Now – 06/30/2016
 - End to End testing
 - **Huge** communication and training effort

Cayuse 424

Alison Yeung, Business Analyst

Cayuse 424

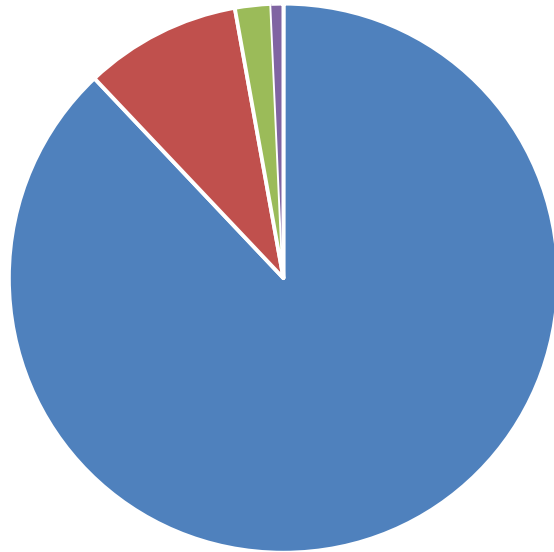
- Web-based system-to-system alternative to the SF424
- Solution for package creation/validation
- Single Sign On with UCI Net ID
- Direct and instant transmission to grants.gov
- Efficient and accurate submission of high volume of proposals
- Real-time error checking
- Extensive auto-fill capabilities

Cayuse 424 @ UCI

- Who has been on-boarded?
 - Beckman Laser Institute
 - School of Medicine
 - Nursing
 - Public Health
 - Pharmaceutical Science
 - Neurobiology & Behavior
 - School of Social Sciences
 - School of ICS
 - School of Engineering
 - More people using Cayuse 424 ??
- Who is actually using Cayuse 424 instead of SF424?
 - ??????
 - Some 😊 But not everyone...

Cayuse 424 @ UCI

~140 Proposals currently submitted in Cayuse (Jun-Dec)



■ NIH ■ DoD ■ NSF ■ Subaward

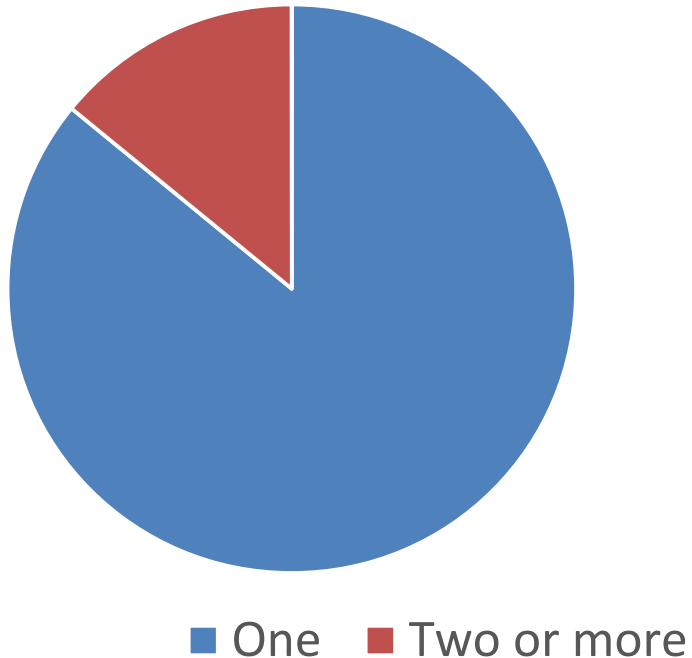
Upcoming (December):

- 24 NIH
- 4 NIJ
- 2 Subk
- 3 DoD

- 35 Abandoned

Cayuse 424 @ UCI

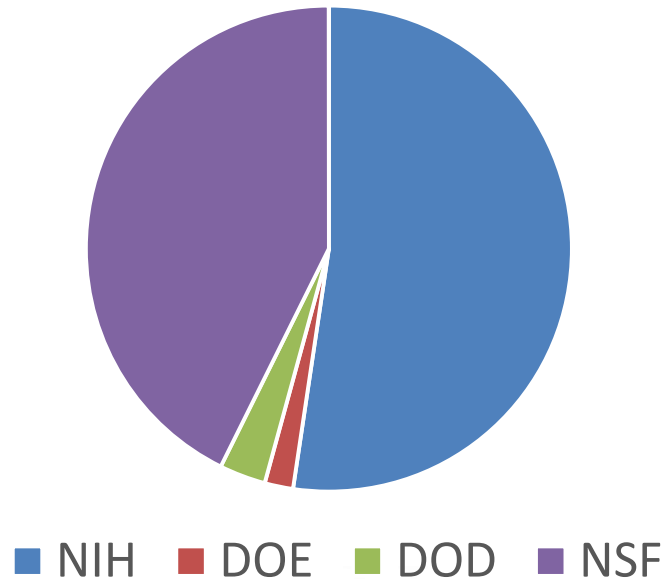
15% of PIs submitted or plan to submit 2 or more* proposals during this time.



- *Most proposals submitted by repeat PIs had different deadlines.

Cayuse 424 @ UCI

>800 Proposals submitted to
NIH, NSF, DOE, DoD* in
FY15



- *Approximation of proposals with Finalized (Approved and Submitted) states in KC, based on sponsor codes 3401, 5099, 5400, 2399 (DoD Misc), in addition to Sponsor agency code 03 (DoD) in DWH.

Cayuse 424 @ UCI

- Who has been on-boarded?
 - Beckman Laser Institute
 - School of Medicine
 - Nursing
 - Public Health
 - Pharmaceutical Science
 - Neurobiology & Behavior
 - School of Social Sciences
 - School of ICS
 - School of Engineering
 - More people using Cayuse 424 ??
- Who is actually using Cayuse 424 instead of SF424?
 - A Rough Estimate...
 - ~35% of eligible grants.gov proposals are being submitted in Cayuse 424
 - Increasing!
 - Our goal is 99%* Adobe SF424 eradication.

**Cayuse supports over 98 % of Grants.gov opportunities.*

Numbers

SF424

- At least 104 mandatory fields to complete
- Addtl info required for each Key Person
- Proposal with Errors must be resubmitted 1x, 2x, 3x, etc.

Cayuse 424

- 36 institutional fields prefilled
- Personnel Profiles stores biographical information, dept affiliation, eRA Commons username, Biosketch, rate/salary (optional)
- Auto error/warning check = 99.999 % first-time submission success rate

Prefilled/Loaded Information

- Includes Applicant Information:
 - DUNS #
 - Applicant Address
 - EIN #
 - Congressional District
 - PD/PI contact information (existing)
 - Primary Performance Site Location/Address
 - Human Subjects and Animal Welfare Assurance #s

Prefilled/Loaded Information

- Budget Information
 - Cognizant Federal Agency
 - UCI's Indirect Cost Rates (on and off campus)
 - Escalation factors for salary, travel, trainee support, materials/supplies, GSR Tuition
 - MTDC included/excluded categories

*In Cayuse, GSR Tuition Remission must be budgeted as a separate line item in order to be excluded from MTDC base. This guidance is applicable to Cayuse proposals only.

GSR Tuition Remission must be budgeted as a separate line item.

Graduate Student Tuition Remission is a new, separate line item under Section F. Other Direct Costs.

RESEARCH & RELATED BUDGET - SECTION F - K Budget Period 1 of 5

ORGANIZATIONAL DUNS: 090993098

Budget Type: Project Subaward/Consortium

Enter name of Organization: The Regents of the University of California, Irvine


Period 1 Start Date: End Date:

F. Other Direct Costs		Indirect Cost Type	Funds Requested (\$)
1.	Materials and Supplies	Organized Research_On Campus	
2.	Publication Costs	Organized Research_On Campus	
3.	Consultant Services	Organized Research_On Campus	
4.	ADP/Computer Services	Organized Research_On Campus	
5.	Subawards/Consortium/Contractual Costs	
>	Allocated IDC Base	Organized Research_On Campus	
6.	Equipment or Facility Rental/User Fees	excluded	
7.	Alterations and Renovations	excluded	
8.	Graduate Student Tuition F	excluded	
9.		excluded	
10.		excluded	
Total Other Direct Costs			
G. Direct Costs		Funds Requested (\$)	
Total Direct Costs (A thru F)			0

Do **NOT** lump in with Fringe Benefits in the salary section.

This guidance is applicable to Cayuse proposals only.

Unfortunate, preventable situations

Situation		Outcome
Budget exceeded solicitation limitation by \$1.		Rejected. Not considered for funding.
PI used outdated form template.		Rejected. Had to wait until next competing cycle.
Subk Biosketch exceeded page limitation by 1 page.		Submitted. Cayuse detected error. Biosketch revised.

The gift of time

SF424

- The burden is on YOU
 - Ensure the most updated package is uploaded/routed
 - Interpret ambiguous error messages and locate error
 - Be aware of agency and form updates
 - E.g. "FORMS-D", must be used for all applications submitted for due dates on or after May 25, 2016.

Cayuse 424

- Automated by Cayuse
 - Version control and document repository
 - Real-time error checking based on agency and opp-specific rules, Grants.gov validations
 - Click-zoom in on a specific error/warning.
 - Ability to Copy AND Transform data and forms from one proposal to another (different opportunity, or even a new version of the same opportunity)

Feedback

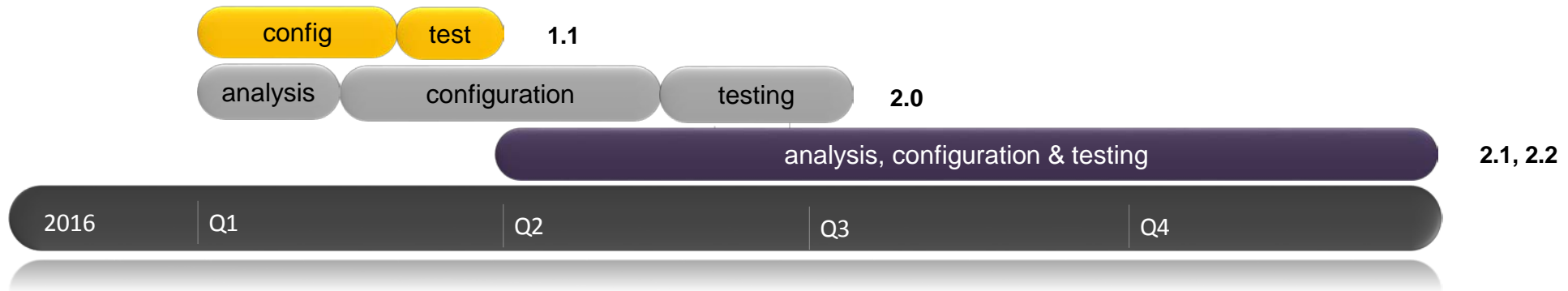
- *Proposal Preparation* – “I estimate that this powerful tool saved me 2+ hours for each Federal proposal, as compared with the Adobe SF424.”
- *Post-submission* – “I checked it out in Commons and it looked just like it was supposed to look. In a nutshell, I recommend Cayuse.”
- *Management Buy-in* – “[Director] is very impressed with it. Cayuse 424 is the way to go and it is a great alternative to the SF424 forms.”
- *Sponsored Projects* – “All my department administrators who have used Cayuse love it. [Learning to use Cayuse] was so much easier than the 424.”

Looking forward... 2016

- There is a strong possibility that Cayuse 424 will be mandated, pending project expansion.
- Future phases to further reduce double data entry & increase accuracy
 - Data Integration between Cayuse 424 and KC
 - 1.1 Send Permissions from KC to Cayuse
 - 2.0 Create KC Doc from Cayuse Prop
 - 2.1 Send info from Cayuse Prop to Existing KC Doc
 - 2.2 Subsequent Sync of Existing Cayuse & KC Props

Looking forward... 2016

Timeline for Data Integration between Cayuse 424 and KC



Other Cayuse 424 Institutions

California Institute of Technology

Emory University

George Washington University

Georgia Institute of Technology

Iowa State University

Medical University of South Carolina

New York University

Ohio State University, Columbus

Oregon State University

Rutgers, The State University of New Jersey

University of California, Los Angeles

University of California, Riverside

University of California, San Francisco

University of California, Santa Barbara

University of Delaware

University of Georgia Research Foundation

University of Iowa

University of Kansas Medical Center Research Institute

University of Kansas Center for Research, Inc.

University of Massachusetts Medical School, Worcester

University of New Mexico

University of North Carolina Chapel Hill

University of Notre Dame

University of Texas, Austin

University of Texas Health Science Center at Houston

University of Texas Health Science Center at San Antonio

University of Utah

University of Wisconsin-Madison

Virginia Polytechnic Institute and State University

Yeshiva University, Albert Einstein College of Medicine

American University

Arkansas State University

Azusa Pacific University

California State University Channel Islands

California State University Fullerton

California State University Long Beach

California State University Sacramento

Carolinas Medical Center

Central Michigan University

Chicago State University

City College of New York

Claremont Graduate University

Cleveland State University

Eastern Virginia Medical School

Grand Valley State University

Illinois State University

Indiana State University

Kansas State University

Kingsborough Community College of CUNY

Lehman College of CUNY

Mercer University

Mississippi Valley State University

Missouri State University

Northern Arizona University

Northern California Institute for Research and Education

Polytechnic Institute of NYU

Rensselaer Polytechnic Institute

Sam Houston State University

South Dakota State University

Southeast Missouri State University

St. John's University

University of Arkansas at Little Rock

University of Central Arkansas

University of Houston

University of Houston Clear Lake

University of Idaho

University of Maryland Center for Environmental Sciences

University of Massachusetts Dartmouth

University of Massachusetts Lowell

University of North Texas

University of North Texas Health Science Center

University of Rhode Island

University of South Dakota

University of Texas at Brownsville

University of Texas - Pan American

University of Texas, San Antonio

University of Wisconsin - Parkside

University of Wisconsin - Platteville

University of Texas, Tyler

Virginia State University

Western Washington University

Wichita State University

Worcester Polytechnic Institute

York College of CUNY

Benaroya Research Institute

The Geneva Foundation

Guthrie Healthcare System

Hebrew SeniorLife

Hektoen Institute of Medicine

Henry M. Jackson Foundation

International Heart Institute of Montana

Kaiser Permanente

La Jolla Institute for Allergy & Immunology

Lankenau Institute for Medical Research

Memorial Sloan-Kettering Cancer Center

Monell Chemical Senses Center

Morgridge Institute for Research

National Jewish Medical and Research Center

Oregon Research Institute

Oregon Social Learning Center

Salk Institute for Biological Studies

Seattle Biomedical Research Institute

Texas Biomedical Research Institute

BioInquire, LLC

Bioscience Development, Inc.

Clinical Research Management, Inc.

Haus Bioceuticals, Inc.

Immunobiosciences, Inc.

KDH Research and Communication

Prognosys Biosciences, Inc.

Red Hill Studios

Research Circle Associates, LLC

Yours is the voice of persuasion

- **Please pass on this information to PIs and leadership!**
- Our goal is 99% Adobe SF424 eradication.

Need help? Resources

- ERA Team is available for faculty/department outreach. We are here to support your transition to Cayuse 424.
- Register in UCLC to attend monthly ERA Office Hours (search “ERA” in UCLC.) Bring any questions/concerns.
- **ERA Team wants your feedback! Let us know why you are or are not using Cayuse 424.**

More Resources

- User Manual, Training Materials, Browser Support & Configuration available online:
<http://support.cayuse.com/docs/cayuse-424>
- HelpDesk for Cayuse 424 support (M – F, 6AM – 5PM PT)
 - ResearchSuiteSupport@Evisions.com
 - 503-297-2108 ext. 201 (designated Evisions help line)
- Cayuse 424 brochure: <https://evisions.com/wp-content/uploads/2015/01/Cayuse-424-Brochure.pdf>

eyeballs Catch Stuff Systems Don't

Jonathan Lew, eRA Officer

eyeballs Catch Stuff Systems Don't

- Systems take action based on clearly define rules.
- When checking an application for compliance against defined rules, some checks can be automated while others cannot.

eyeballs Catch Stuff Systems Don't

- Is the PI eligible to apply?
- Does the application include all the appropriate information in the right places?
- If reference letters apply, was the exact text used as required?
- Is the latest Biosketch format being used?
- Font color!

Audience Participation Time!

Text **JONATHANLEW334** to **22333**

Or on your browser, navigate to:

PollEv.com/jonathanlew334 to join our poll
