Networking and Icebreaker (1:00-1:30)

1. Complete names in boxes to create a row: Vertical, Horizontal, Diagonal (optional: yell “BINGO!”)

2. Bring your Bingo to the front to enter your name into a raffle.

3. Stay awake. We’ll hold the raffle halfway through the agenda. You must be present to win.
Agenda

• **Networking and Icebreaker (1:00-1:30)**
  • General Announcements and Updates
  • FY 2018-19 Funding Recap
  • NIH Diversity Supplements
  • Common Rule: Cooperative Review
  • Budgeting Vacation Assessment, UCR Interest Assessment, and GAEL in Proposals
  • UC Path Composite Benefit Rate Mitigation Plan for Contracts and Grants
  • Cost Share System and PI Report Transition to UC Path
  • Payroll Report C&G Funds
  • KR Award and DW Query
• **Table Topics (3:00-3:30)**
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- Networking and Icebreaker (1:00-1:30)
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- Table Topics (3:00-3:30)
NIH Diversity Supplements

Diana Vigil-Stephens
Education Director
Institute for Clinical and Translational Science
What are diversity supplements?

- NIH administrative supplements
- Most institutes participate
- Applicable grants with 2+ years of funding. Some types include:
  - R01; R03; R21; R41
  - P01; P30; P50; P60
  - U54; U24
  - DP 1-5
Who can apply?

- Applications come from a scholar through the main grant PI
- Applications include scholars research project as an off shoot of the main award
- Scholars can be various levels:
  - High School Students
  - Undergraduate Students
  - Baccalaureate and Master's Degree Holders
  - Graduate (Predoctoral) and Health Professional Students
  - Individuals in Postdoctoral Training
  - Investigators Developing Independent Research Careers
<1% of Diversity Supplement Applicants in FY 2018 Came in under the Disadvantaged Category

- **Hispanic**: 70% success rate, 328 applications, 466 new awards
- **African American**: 62% success rate, 282 applications, 454 new awards
- **American Indian/Alaska Native**: 76% success rate, 28 applications, 37 new awards (application and award data withheld for <11 applicants)
- **Native Hawaiian/Pacific Islander**: 53% success rate, 25 applications, 34 new awards (application and award data withheld for <11 applicants)
- **Individuals with Disabilities**: 74% success rate, 23 applications, 98 new awards
- **Disadvantaged**: 100% success rate, 23 applications, 98 new awards
- **Other**: 23% success rate, 23 applications, 98 new awards

*Note: NH/PI – Native Hawaiian and other Pacific Islanders; AI/AN – American Indian and Alaska Native; Other – race unknown*
How to apply?

• Each participating institute has specific instructions (https://grants.nih.gov/grants/guide/contacts/Diversity-Supp_contacts.html):
  – Dates
  – Types of scholars
  – Budget constraints

• Applications are structured similarly to a career development award

• Typically provide 2 years of support

• Administratively reviewed
Diversity Supplements

Questions?

Diana Vigil-Stephens
Education Director
Institute for Clinical and Translational Science
Dvigil1@uci.edu
949-824-1231
Agenda

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• Table Topics (3:00-3:30)
Cooperative Research

Valerie Sanchez, MA, CCRP, CIP
IRB Administrator

December 18, 2019
What is Cooperative Research?

• AKA
  • single IRB (sIRB)
  • Reliance
  • Trust & Rely

• IRBs
  • Memorandum of Understanding (MOU)
When does it Apply?

- Approved on or after 1/20/20
- **45 CFR 46.114 (a):** Cooperative research projects are those projects ... involve more than one institution.
- Non-Exempt Research
- National Institutes of Health (NIH)
- **Agency/Dept** signed 2018 Common Rule
What’s Next?

• Town Halls in 2020
  – 1/8/20 at 9:30am (Campus: SBSG 1321)
  – 1/9/20 at 9:30am (Library 22A, Rm 2105-2106)

• [HRP Common Rule Webpage](#)

• [HRP sIRB Webpage](#)
Contact Information

Valerie Sanchez
Administrator
949-824-7735

Angelina Oh
Sr. Analyst
949-824-6269

Muzhgan Afzal
Research Protections Assistant
IRBReliance@uci.edu
Agenda

- Networking and Icebreaker (1:00-1:30)
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- **Table Topics (3:00-3:30)**
Budgeting Vacation Accrual, UCRP Interest Assessment and General, Automobile, and Employment Liability (GAEL)

- **GAEL**: Assessed on all non-federal (both direct and flow through) funding sources
  - Calculate based upon a percentage of payroll
  - Budget in the “Other Direct Cost” category

- **Vacation Accrual**: Assessed on all funding sources.
  - Calculate based upon a percentage of payroll
  - Budget in the “Fringe Benefit” category by adding the percentage to the composite benefit rate (CBR)
    - Example: CBR- 23.20% + 7.0 vacation accrual = 30.20% benefit rate
Budgeting Vacation Accrual, UCRP Interest Assessment and General, Automobile, and Employment Liability (GAEL)

- UCRP Interest Assessment: Assessed on all non-federal (both direct and flow through) funding sources
  - Calculate based upon a percentage of payroll
  - Budget in the “Fringe Benefit” category by adding the percentage to the composite benefit rate (CBR) and vacation accrual
    - Example: CBR- 23.20% + 7.0 vacation accrual + .77 UCRP interest assessment = 30.97% benefit rate
Questions?
Agenda

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Composite Benefit Rate (CBR) Mitigation Plan

• Applies to contract and grant awards (excludes clinical trials) that are negatively impacted due to the change in benefit rate methodology (including vacation accrual)

• Criteria
  – Proposal submitted on or before January 1, 2020
  – Award has to be active or within 90 days of end date at the time of request
  – Impact to award is 5% of annual expenditures or exceeds $5,000
    • Example: $50,000 annual award - CRB impact would have to exceed $2,500 to qualify for mitigation
Composite Benefit Rate (CBR) Mitigation Plan

• Guidance Document and Calculations Sheet:  

• UC Path CBR website:  
  https://budgetoffice.uci.edu/composite_benefit_rates.php

• Submit mitigation requests to Nancy Im at 
  nancyim@uci.edu in the Budget Office after following the steps in the Guidance Document
Questions?
Agenda

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- *Table Topics (3:00-3:30)*
Contracts & Grants Accounting Update

Beata Najman
Agenda

- CGA General Updates
- New Online Cost Transfers Training Modules - available at UCLC
- New Cost Share System
- PI Report Transition to UC Path
Online Cost Transfers Training

**How to Avoid Using Cost Transfers?**

- Know the budget allocation, and review and reconcile the monthly ledger
- Plan ahead for changes and purchases
  - Be prepared for:
    - Changes to work assignments
    - Upcoming equipment purchases
- Know and understand the award agreement terms, regulations, policies
- Proper training can help avoid incorrect use of cost transfers
- Keep track of reporting deadlines
- Meet regularly with the PI, and provide PIs with timely expense reports and projections
New Cost Share System – January 1, 2020

• All CS subaccounts will be closed on December 21
• Cost sharing will become a KFS document
• Payroll Certification lookup will be changed to C&G Certifications and will be modified to enable initiation of both Payroll Certification and Cost Sharing (C&G Tracking lookup)
• Expenses to be shared can be searched for and added to the document
  – Salary, Non-Salary, and Third Party Cost Share entries
  – All cost sharing will take place in KFS
• Training sessions are scheduled for December and January and will continue through the end of March
PI Report Transition to UC Path

• The PI Report (UCPath) go-live date will be the day after the December month-end date in January

• From that date on, users will be seeing salary commitment from the UCPath Funding Entry page

• Some down time is expected
PI Report Transition to UC Path

• Users will not be able to refer back to PPS Distribution records any longer outside of saved commitments from the previous month

• We will turn off the automated PI Report month-end update process from the batch scheduler since we are not sure when the December month-end date will be in January in case the UCPath DDODS is delayed
PI Report Conversion Scope

- Minimally change code without changing the overarching PI Report design

- Changes are only limited to Salary and Benefit projection screens and reports. Examples include:
  - Addition of Jobcode and Earnings Code
  - Benefit projection based on CBR rate

- Updates to labels throughout the system to eliminate FS/PPS references and introduce UCPath terminology

- Further improvements will be made post UCPath go-live
Benefit Projection Changes (Academics)

- Benefit Rate is now replaced with Empl Class and CBR Rate
- As a default, CBR Rate is assigned based on the Job Code which is tied to Empl Class. Then the Empl Class drives CBR Rate.
- When the user changes Empl Class, CBR Rate is automatically changed
Unlike academic positions, staff jobcode is not linked to Empl Class; therefore, when the user changes Empl Class, system suggests possible rates.
Minor enhancements and cleanups

- For unrestricted funds, the system now displays the account title under the project title (previously the title was blank).
- For fiscal year accounts, the projection now runs until the end of fiscal year (previously the projection amounts were over inflated).
- UC Account and Fund always shows with the KFS Account Number.
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Payroll Report -- Demo

Kevin Chung, Accounting
Matt Levin, OIT
Agenda

- *Networking and Icebreaker (1:00-1:30)*
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ERA News

Jonathan Lew and Alison Yeung
Coming Soon!

- **DWQuery**
  - January 2020
  - Replacement for Data Warehouse
- **Proposal & Award Data Report in Cognos**
  - Fill the gap until DWQuery is online
- **Self-Service Reports in Cognos**
- **Subaward Transaction Summary (STS) Report**
- **Administrative Contact to be added automatically**
Enhancements to Award Transaction Summary
At least one of the following prompts are required.

Enter the Sponsor Award Number: 

Enter the Document Number: 

Enter the UC Fund Number: 

Principal Investigator: 

Sponsor: 

Search: 

Clear
# Award Transactions

Click on 'Award Transaction Summary' to view the Award Transaction Summary

<table>
<thead>
<tr>
<th>Sponsor</th>
<th>IP Nbr</th>
<th>Legacy Proposal Number</th>
<th>Sponsor Award Nbr</th>
<th>Mod Nbr</th>
<th>KR Doc Nbr</th>
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<th>Cost Share Amt</th>
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# Award Attachment Repository

Click on 'Notice of Award Doc' to view Award Attachment

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<th>Award Nbr</th>
<th>Sponsor Award Nbr</th>
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</table>
Congratulations on your award! This page provides a summary of the information contained in the award issued by the sponsor. A separate KFS workflow notification will be sent to the Fiscal Officer when the expense account and fund for this award has been set up by Contracts and Grants Accounting. For a financial summary of this award, please refer to the Account Balance Overview tool located at https://accounting.uci.edu/cg/index.html.

Principal Investigator: MASASHI KITAZAWA
Lead Unit: IR-6107 - MEDICINE/OCCUPATIONAL AND ENVIRONMENTAL MEDICINE
Title: Deciphering the role of SYK as a key mediator of A beta clearance by microglia
Sponsor Award Nbr: AARF-16-440554
Mod Nbr: UC Acct: UC Fund:

AWARD TRANSACTION DETAILS
KR Award Nbr: 101922-00003
Version: 3
KR Doc Nbr: 2457877
Institutional Proposal Nbr:
Previous Award Nbr:
Transaction Type: No Cost Time Extension
Award Type: Grant
Activity Type: Training
Project Start Date: 12/01/2016
Project End Date: 02/28/2020

OBLIGATIONS FOR THIS TRANSACTION
Direct Cost: $0
Indirect Cost: $0
Total Cost: $0
Total Cumulative Cost: $175,000

SPONSOR DETAILS
Sponsor: 008033 - ALZHEIMER'S ASSOCIATION
Prime Sponsor:
On/Off Campus: On-Campus
*F & A Rate: 10.00 MTDC
F & A Waiver Nbr: 135125
CFDA Nbr:

COST SHARE AND PREAWARD SPENDING
Total Cost Share Amt to Date:
RAS Amt:
RAS Date:
RAS Comments:
SPA Officer: gainesrd
Administrative Contact: Laura Massena

Special Review
Compliance Type: IACUC - Approved - 2015-3193 - 01/01/2020
Compliance Type: IBC - Approved - 2016-1555 - 04/05/2019

Additional Key Personnel
Key Person: SIOK LAM LIM
Principal Investigator: MASASHI KITAZAWA
## Award Transactions

Click on 'Award Transaction Summary' to view the Award Transaction Summary.

### Lead Unit: IR-4125 - PEDIATRIC 5-DEVELOPMENTAL/BEHAVIORAL

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### Lead Unit: IR-4138 - PEDIATRICS/CENTER FOR AUTISM AND NEURODEVELOPMENT DISORDERS

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### Lead Unit: IR-74612 - PEDIATRICS

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### Overall - Total

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## Award Attachment Repository

Click on 'Notice of Award Doc' to view Award Attachment.
Questions?
See you next time...

Spring QRAM
March 18, 2020
Pacific Ballroom AB