

Uniform Guidance (2 CFR Part 200) UCI Implementation Update

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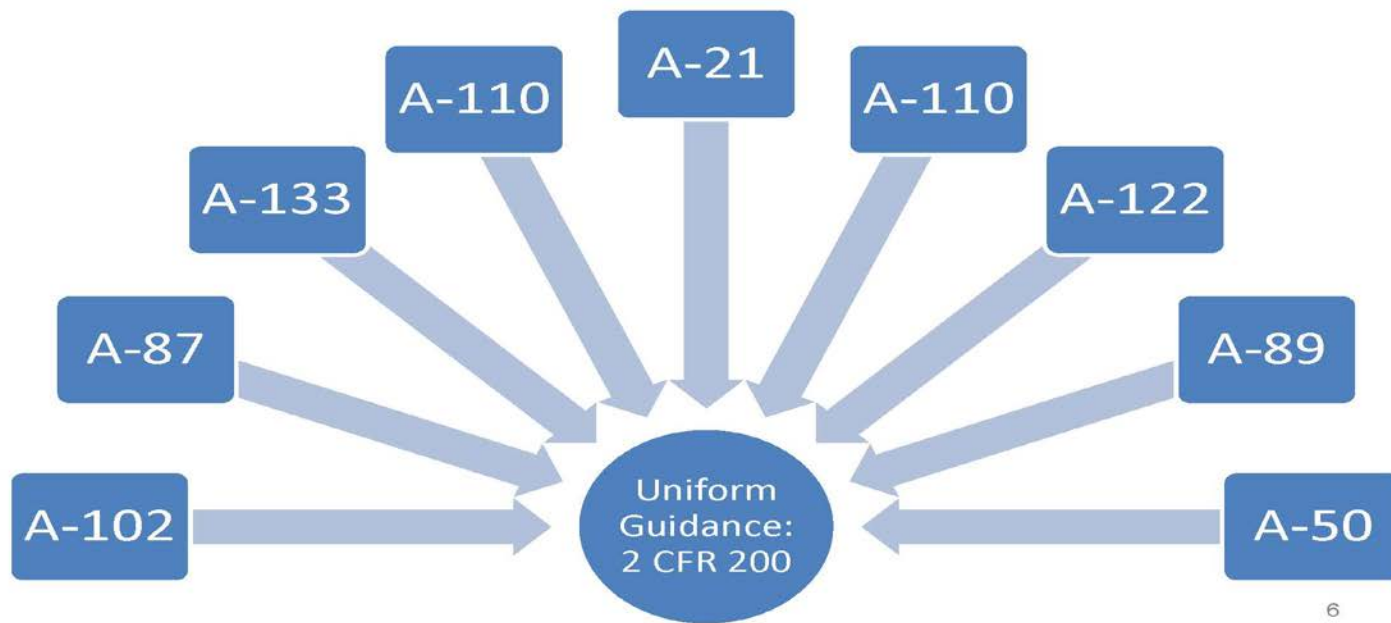
Reform Goals and Impacts

Reduce Administrative Burden and Risk of Fraud, Waste and Abuse by:

- Eliminating duplicative and conflicting guidance
- Promoting performance, compliance and **accountability**
- Consistent and **transparent** cost treatment to promote the best use of federal resources
- Standardizing business processes and data definitions

Reform Goal and Impacts (cont.)

Eliminating Duplicative and Conflicting Guidance



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Implementation Timeline

- **Final guidance effective 12/26/2014 for all Subparts except Audit Requirements and Procurement Standards**
- **Interim Joint Rule published in the Federal Register 12/19/14.**
 - **Includes implementation of the UG for all federal agencies**
 - : <http://www.gpo.gov/fdsys/pkg/FR-2014-12-19/pdf/2014-28697.pdf>

Federal Agency Implementation

- NSF and NIH have published terms and conditions to implement the Uniform Guidance
- DOD is working on terms and conditions. Timeline for release of those terms and conditions is unknown.
- For DOD and all other federal sponsors, the implementation of the Uniform Guidance as set forth in the Interim Joint Rule shall serve as the terms and conditions of awards unless the award document says otherwise

Award documents should be reviewed VERY CAREFULLY!

UC Workgroup

- Representatives from all UC campuses
- Working group's current activities and timeline:
 - Task related to systemwide implementation have been prioritized (high, medium, low)
 - Four sub groups have been formed to address high priority tasks:
 - Equipment and Property: Helen Chang is UCI's rep.
 - Subrecipient Monitoring: Grace Park is UCI's rep.
 - Internal Controls: Bent Nielsen is UCI's rep.
 - Definitions (e.g. integral and essential etc.): Sandra Stevens is UCI's rep.
 - The subcommittees will work over the next few months on assessing the impact of the Uniform Guidance on UC policies and procedures, implementing changes and developing tools for use by the campuses.

UCI's Implementation Plan

- Steering Committee formed
 - Representatives from Office of Research, Accounting, AB&S, Budget Office, Materiel and Risk Management
- Steering Committed Tasks:
 - Develop a PI Quick Guide for UCI faculty and researchers: In progress
 - Update the UCI Guidelines for Direct Charging Sponsored Projects: In progress
 - PI Quick Guide and Guidelines for Direct Charging will include guidance for budgeting and charging administrative costs and computing devices to federal awards.
 - Revising UCI local policies to implement the Uniform Guidance: In progress
 - Conduct outreach and training: In progress

Communication and Training

- Informational sessions for Administrators, Central Administration staff (SPA, C&G Accounting, Materiel and Risk Management, Budget Office etc.) are underway
- SPA and C&G Accounting staff are being trained jointly. Two training sessions have been conducted thus far. A third session is planned for the spring

Communication and Training

- Hot Topics sessions for Departmental Administrators are underway
 - Delivered to the OSAM group (ORU, SRP and Institute Administrators): February, 2015
 - Delivered to the Physical Sciences and TEC Business Center (Engineering, ICS, Calit2) Administrators: March 2015
- Contact Nancy Lewis, nrlewis@uci.edu to arrange a session for your School, Department or Unit

Questions??