

Conflict of Interest (COI) Updates

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COI Administrator

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Form 700U at Award Stage

Implemented 3/24/16

Form 700Us are collected at notice of award (NOA) not at proposal submission to facilitate the proposal submission process.

- SPA/Industry Officers will contact the Principal Investigator (and Co-Principal Investigator if applicable) for Form 700U(s) at NOA.

Exception: Industry sponsored research projects will continue to upload Form 700Us into Kuali Coeus (KC) at proposal stage.

Which procedure to follow?

Do you know if the proposal will be awarded as you're submitting the proposal?



VS.



Yes- upload Form 700U in KC
with proposal submission

No- submit Form 700U when
receive notice of award

Updated COI Questions in KC

Is the sponsor or prime sponsor a non-governmental entity that is not on the exempt sponsor list?

Yes

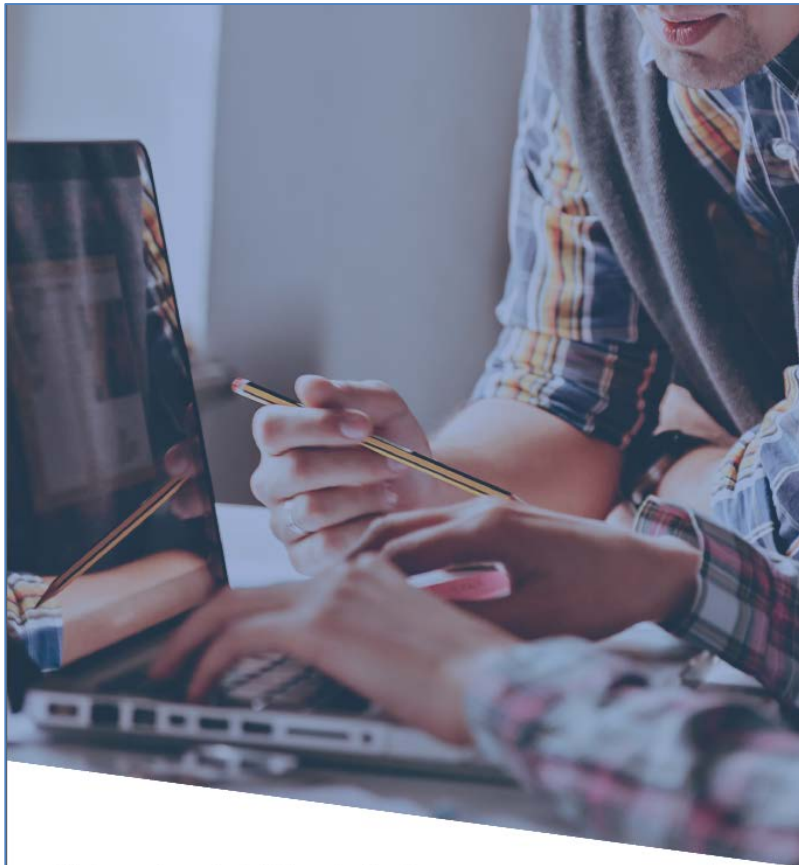
– Has this proposal been awarded or is it for industry sponsored research including clinical trials?

Yes

- Please upload a completed and signed Form 700U for the Principal Investigator (and Co-Principal Investigator if applicable) and answer the following question:

Did the Principal Investigator or Co-Principal Investigator disclose a financial interest on their uploaded Form 700U(s)?

Startup Guide for Employee Inventors



Office of Research in collaboration with multiple offices across campus created a Startup Guide to provide information and resources to individuals interested in creating a company related to UC intellectual property including:

- Key questions to consider
- Campus contacts
- Related UC policies

Future Improvements

- With the KC COI implementation, our office will implement a new Annual Form 810 to reduce the amount of forms PHS Investigators have to complete each year.
 - The KC COI Training for PHS Investigators will also include training on the new procedures related to the new Annual Form 810.
- The Startup Companies Working Group will continue developing resources and revising the Startup Guide based on campus feedback.

COI Resources

- COI Website:
<http://www.research.uci.edu/compliance/conflict-of-interest/index.html>
- Contacts:
 - Nadia Wong, COI Administrator, nadiaw@uci.edu
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