

eRA Update

Barbara Inderwiesche, Assistant Director, eRA
Jonathan Lew, eRA Officer

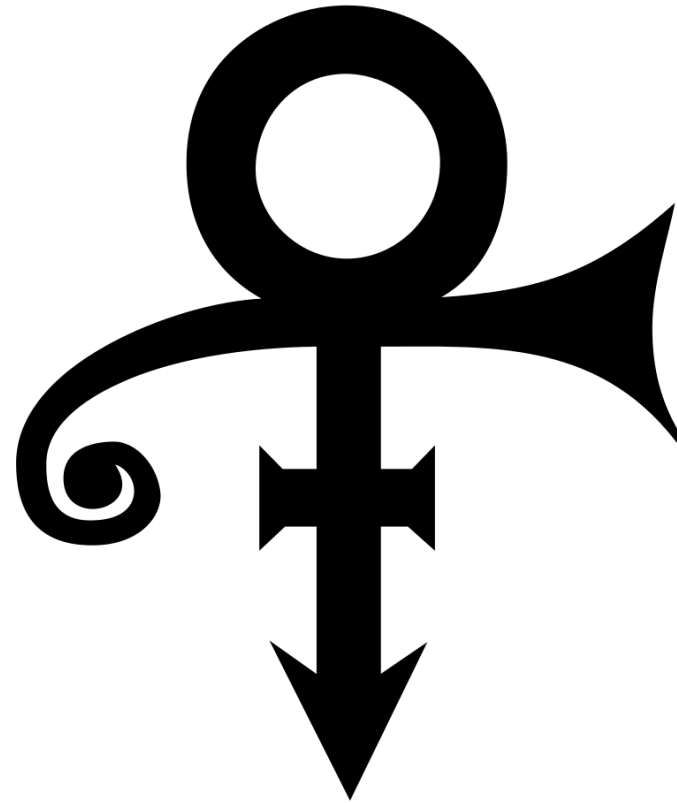
Senior eRA Analyst

- Primarily responsible for developing and delivering a Research Protections Research Management System (RMS) training program for the UCI community.
 - Lead subject matter expert on RMS functionality, providing first-tier training and technical support services for RMS users.
 - Responsible for maintaining and granting RMS access, roles and permissions for core users.
 - Maintain and update RMS core configuration values to ensure they are consistent with applicable UC, UCI and Federal policies.
 - To expand the functionality of RMS, participate in the development and implementation of enhancements based on user feedback and quality assurance testing.
 - Support other eRA initiatives developed by RA and/or required by Federal policy.
- For more information, reference Job Number: **2016-0216** in UC Irvine's [Jobs Website](#) or contact Barbara Inderwiesche at barbara.i@uci.edu .

Cayuse 424 Updates














- Individuals in workflow (KC) are automatically added to the permissions screen (Cayuse)
- Access to View the Proposal

Search Results:

25 | Page of 1

Proposal	
	<input type="checkbox"/> d38 probe QuickLinkCreat
	<input type="checkbox"/> probe d38 qa03 quickLink

	<input type="checkbox"/> Ryan's test Cayuse Proposal
Paired with proposal	
204047	

- New application package!
- Required for all proposals due on or after May 25, 2016
- Beginning March 25, NIH started to post *new* opportunities to Grants.gov in the new Forms-D version
- From now until May 25, NIH will reissue existing opportunities in the new Forms-D version on Grants.gov

So what does this mean for me if I'm using Cayuse?





Always download a new version of the opportunity!

- You may get two packages: Forms-C & Forms-D
- Form version is shown in both the Competition ID and Competition Title fields
- If you've already started working on a proposal and a new version is released, you must *transform* the existing proposal to the Forms-D version

- MTDC: Modified Total Direct Costs
- Definition: Total Direct Costs minus certain cost elements
 - Ex: equipment; tuition & fee remission
- Must separate out Tuition & Fees so that Cayuse can calculate MTDC off the correct base
- DO NOT include with Fringe Benefits


RESEARCH & RELATED BUDGET - SECTION A & B Budget Period 1 of 5

B. Other Personnel		Cal. Months	Acad. Months	Sum. Months	* Requested Salary (\$)	* Fringe Benefits (\$)	* Funds Requested (\$)
* Number of Personnel	* Project Role						
	Post Doctoral Associates						
	Graduate Students					X	
	Undergraduate Students						
	Secretarial / Clerical						

RESEARCH & RELATED BUDGET - SECTION F - K

Budget Period 1 of 5 ▾



F. Other Direct Costs	Indirect Cost Type	Funds Requested (\$)
1. Materials and Supplies	Organized Research_On Campus ▾	
2. Publication Costs	Organized Research_On Campus ▾	
3. Consultant Services	Organized Research_On Campus ▾	
4. ADP/Computer Services	Organized Research_On Campus ▾	
5. Subawards/Consortium/Contractual Costs	
> Allocated IDC Base	Organized Research_On Campus ▾	
6. Equipment or Facility Rental/User Fees	excluded ▾	
7. Alterations and Renovations	excluded ▾	
8. Graduate Student Tuitio	excluded ▾	
9.	excluded ▾	
10.	excluded ▾	
Total Other Direct Costs		

Speaking of Tuition and Fees...time for a poll!

Budgeting Graduate Fee Remission

- **Budgeting Graduate Student Fees & Tuition**
- Graduate student fees and tuition is considered Fringe Benefits for budgeting purposes. To comply with UCI's cost accounting practices, budget graduate student fees and tuition remission by:
- Adding to the calculated fringe benefit cost the appropriate graduate student fees and tuition (resident or nonresident, as applicable) for the period of time that the graduate student will receive compensation for working on the project and remain eligible for fee and tuition remission.
- Listing the combined costs (fringe benefits plus fee and tuition remission) in the budget as the total fringe benefit cost for the graduate student.
- Explaining in the budget justification that the total fringe benefit costs includes fringe benefits plus fee and tuition remission and describe how tuition costs were estimated for multi-year projects.

Benefits of the Change

- Consistent budgeting and charging across all sponsored awards
 - DS-2 has been revised to provide for the change in budgeting and charging tuition and fees
 - KFS provides a separate level code for fringe benefits (BENE) and tuition and fees (SBGR) so that they can be separated
- Streamline the process for SPA institutional review and sponsoring agency review
 - Eliminate questions regarding the tuition and fees amounts included in the fringe benefits budget line item

Public Access Requirements for Federally Funded Research

Office of Science and Technology Policy (OSTP) Directive



HOME - BLOG

Expanding Public Access to the Results of Federally Funded Research

FEBRUARY 22, 2013 AT 12:04 PM ET BY MICHAEL STEBBINS



Summary: The **Obama Administration** is committed to the proposition that citizens deserve easy access to the results of research their tax dollars have paid for. That's why, in a policy memorandum released today, OSTP Director John Holdren has **directed Federal agencies with more than \$100M in R&D expenditures to develop plans to make the results of federally funded research freely available to the public—generally within one year of publication.**

The Obama Administration is committed to the proposition that citizens deserve easy access to the results of scientific research their tax dollars have paid for. That's why, in a policy memorandum released today, OSTP Director John Holdren has directed Federal agencies with more than \$100M in R&D expenditures to develop plans to make the published results of federally funded research freely available to the public within one year of publication and requiring researchers to better account for and manage the digital data resulting from federally funded scientific research. OSTP has been looking into this issue for some time, soliciting broad public input on multiple occasions and convening an interagency working group to develop a policy. The final policy reflects substantial inputs from scientists and scientific organizations, publishers, members of Congress, and other members of the public—over 65 thousand of whom recently signed a *We the People* petition asking for expanded public access to the results of taxpayer-funded research.

NIH Public Access Policy

- The Policy implements Division G, Title II, Section 218 of PL 110-161 (Consolidated Appropriations Act, 2008) which states:

*The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine's PubMed Central an **electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication**: Provided, that the NIH shall implement the public access policy in a manner consistent with copyright law.*

- NIH Guide Notice NOT-OD-08-033
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html>
- NIH Guide Notice NOT-OD-09-071 announces the policy is permanent, per the Consolidated Appropriations Act, 2009
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-071.html>

- Address copyright
- Post Papers
 - PubMed, PubMed Central, NSF Public Access Repository (NSF-PAR)



- Document Compliance

Institutions and investigators are responsible for ensuring full compliance with the Public Access Policy!

List of Public Access Plans and Repositories		
Department/Agency	Web Address	Repository for Peer-Reviewed Journal Articles
Agriculture	www.usda.gov/documents/USDA-Public-Access-Implementation-Plan.pdf	PubAg, http://pubag.nal.usda.gov/pubag/home.xhtml
Commerce	NIST www.nist.gov/data/upload/NIST-Plan-for-Public-Access.pdf	PubMed Central, www.pubmedcentral.gov
	NOAA http://docs.lib.noaa.gov/noaa_documents/NOAA_Research_Council/NOAA_PARR_Plan_v5.04.pdf	Stacks, http://stacks.cdc.gov
Defense	www.dtic.mil/dtic/pdf/dod_public_access_plan_feb2015.pdf	DOD Public Access Search, http://publicaccess.dtic.mil/
Energy	http://energy.gov/sites/prod/files/2014/08/f18/DOE_Public_Access%20Plan_FINAL.pdf	Public Access Gateway to Energy and Science (PAGES), www.osti.gov/pages
HHS	HHS www.hhs.gov/open/public-access-guiding-principles/index.html	PubMed Central (All)
	AHRQ www.ahrq.gov/funding/policies/publicaccess/index.html	
	CDC www.cdc.gov/od/science/docs/Final-CDC-Public-Access-Plan-Jan-2015_508-Compliant.pdf	
	FDA www.fda.gov/downloads/ScienceResearch/AboutScienceResearchatFDA/UCM435418.pdf	
	NIH http://grants.nih.gov/grants/NIH-Public-Access-Plan.pdf	
	Preparedness & Response www.phe.gov/Preparedness/planning/science/Pages/AccessPlan.aspx (Office of the Assistant Secretary for Preparedness & Response)	
Interior (U.S. Geological Survey)	www.usgs.gov/quality_integrity/open_access/default.asp	USGS Publications Warehouse, https://pubs.er.usgs.gov
Transportation	www.transportation.gov/open/official-dot-public-access-plan	Stacks
Veterans Affairs	www.va.gov/ORO/Docs/Guidance/Plan_for_Access_to_Results_of_VA_Funded_Rsch_02_14_2014.pdf	PubMed Central
NASA	http://science.nasa.gov/media/medialibrary/2014/12/05/NASA_Plan_for_increasing_access_to_results_of_federally_funded_research.pdf	PubMed Central
NSF	www.nsf.gov/pubs/2015/nsf15052/nsf15052.pdf	NSF-Public Access Repository (NSF-PAR), http://par.nsf.gov
Smithsonian Institution	http://public.media.smithsonianmag.com/file_upload_plugin/1f143b54-a9f9-4746-bef5-1c76151e3c7a.pdf	Smithsonian Research Online, http://research.si.edu
Others		
U.S. Agency for International Development	[plans not yet final]	Development Experience Clearinghouse, https://dec.usaid.gov/dec/home/Default.aspx
Education	[plans not yet final]	Education Resources Information Center (ERIC), https://eric.ed.gov
EPA	[plans not yet final]	PubMed Central

Preparation is Key to Avoiding Delays in Funding and Ensure Compliance

Encourage Investigators to:

- Determine their compliance plan as they write their papers
- Use one or several of the methods outlined for public access compliance
- Associate papers with awards **today**
- Ensure compliance **well before** their annual reports are due, to avoid a last minute scramble

Time for another poll!

Introducing...

KC Conflict of Interest

KC Conflict of Interest


- Planned for June implementation
- Features:
 - Annual Disclosure process for PHS and NSF Investigators
 - Automated reminders; continuous update capability
 - Integrates with KC Proposal Development
 - Screening questionnaire for NSF proposals where Investigator has positive annual disclosure
 - KC integration with SPA database to manage PHS continuing awards
 - PHS Travel Log
- No more Form 800 or 900 requirement!


KC COI Disclosure 


Document Id:	951115	Di:
Status:	In progress	Reporter:
Last Updated:	09:46 AM 03/29/2016	Disclosure


Disclosure Disclosure Actions

exp

Document Overview 

Questionnaire 

 Annual Financial Disclosure (Incomplete)

 Questions

1. Are you reporting a "new significant financial interest", excluding travel, separate from your annual disclosure?

- Note: When answering the questions below, your response should consider all of the significant financial interests of yourself, your spouse/registered domestic partner, and dependent child(ren).

Yes No


2. Do you, your spouse/registered domestic partner, and/or dependent children have any of the following financial interests related to your institutional responsibilities?

- Total income or payment of services received over the past 12 months and/or equity interest in a publicly traded entity exceeding \$5,000 when aggregated
- Total income or payment of services received over the past 12 months from a non-publicly traded entity exceeding \$5,000
- Any equity interest in a non-publicly traded entity
- Total payments received over the past 12 months for any intellectual property rights and interests (e.g., patent, copyright, assigned or licensed to a party other than the Regents) exceeding \$5,000

Yes No

3. Have you received any travel reimbursement or been sponsored for travel (i.e. travel expenses paid on behalf of Investigator and not reimbursed to Investigator), in the past 12 months, by any entity related to your institutional responsibilities? This does not include travel sponsored or reimbursed by a federal, state, or local government agency, a US institution of higher education or an affiliated research institute, an academic teaching hospital, or a medical center.

Yes No

Certification 

submit close

KC COI Disclosure 

Disclosure

Disclosure Actions


Document Overview

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Screening Questionnaire

 hide

 hide NSF Event Disclosure (Incomplete)

 hide Questions

1. Do you, your spouse/registered domestic partner, and/or dependent children have any of the following financial interests related to your project?

- Total income or payment of services received over the past 12 months from an entity exceeding \$10,000 when aggregated
- Equity interest (e.g., stock, stock options, real estate, or any other investment or ownership interest) in an entity exceeding \$10,000 in value or 5% ownership interest
- Any intellectual property rights and interests (e.g., patent, patent application, copyright of software, assigned or licensed to a party other than the Regents)

Yes No

Certification

 show

submit

close

BARBARA INDERWIESCHE Principal Investigator

BARBARA INDERWIESCHE

- [▶ show](#) Person Details
- [▶ show](#) Proposal Person Certification (Incomplete)
- [▶ show](#) Annual Disclosure (Positive), NSF Disclosure (Incomplete)

Personnel (1) [▼ hide](#)

Personnel Information					
	Person	Role	Proposal Person Certification	Annual Disclosure	NSF Disclosure
1	BARBARA INDERWIESCHE	Principal Investigator	view (Complete)	Positive	Incomplete

Document Overview ▶ show

Award Details ▼ hide

Award Details

Project Title:	thisisaTitleNoSpaces	Sponsor Code:	003401 NIH/MISCELLANEOUS AGENCIES & DEPARTMENTS
Sponsor Award Number:	awardNo10	Prime Sponsor ID:	007551 COMIC RELIEF
Award Cycle:	14	SPA Proposal Number:	1001011
* Principal Investigator:	BARBARA INDERWIESCHE	Proposal Number:	100101
* Lead Unit:	IR-7355 CHEMS DEPARTMENT ACCOUNTS		

Personnel (1) ▼ hide

Personnel

- Principal Investigator (PI) is a required Proposal Role prior to submission. **Only one PI is allowed.**
- For **single PI submissions**, please designate the lead investigator as PI and other senior personnel as Key Persons.
- For **multiple PI submissions**, please designate one PI and add Co-PD/Pis as Co-Investigators.
 - Co-Investigators are required to certify and approve this proposal and pass [UCI's PI eligibility requirements](#).
- Add other senior personnel as Key Persons.
- For projects sponsored by PHS, NSF, flow-through funding from PHS or NSF, and organizations adopting PHS or NSF regulations, include all other Investigators, if not already added as a PI, Co-I or Key Person. An **Investigator** is any individual, regardless of title or position, responsible for the design, conduct, or reporting of the proposed or funded research project. This may include collaborators or consultants.

▼ hide Personnel

	*Person	*Project Role	Email	Annual Disclosure	Actions
Add	Employee User Name: <input type="text"/>	<input type="text" value="select"/> ▼			<input type="button" value="add"/>
1	BARBARA INDERWIESCHE	<input type="text" value="Principal Investigator"/> ▼	barbara.i@uci.edu	Positive	<input type="button" value="delete"/>

Non-UCI Investigator Questions ▼ hide

Non-UCI Investigator Questions

Please note: You will receive an email with further instructions after finalizing this Key Personnel Tab. The award cannot be released until all disclosures have been submitted and cleared.

- There are subrecipients complying with UCI's PHS conflict of interest policy on this project. I understand that it is my responsibility to collect the Form 800SR from all subrecipient Investigators.
- There are Non-UCI Investigators on this project. I understand that it is my responsibility to collect the Form 800SR from all Non-UCI Investigators.


Notes (0) ▶ show

Route Log ▶ show

KC COI Disclosure 


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Disclosure Disclosure Actions

 Unsaved changes will be lost.




Document Overview

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Manual Event and Financial Entities 

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New Event Disclosure

* Event Type:	Travel Disclosure 
* Destination/Location of Travel:	Texas
* Travel Sponsor:	American Airlines
Estimated Value of Travel:	2500
* Purpose of Travel:	Flying
* Start Date:	03/14/2016 
* End Date:	03/18/2016 



Disclosing Individual ▶ snow

Questionnaire ▼ hide

▼ hide Annual Financial Disclosure (Complete)

▼ hide Questions print

1. Are you reporting a "new significant financial interest", excluding travel, separate from your annual disclosure? More Information...
 - Note: When answering the questions below, your response should consider all of the significant financial interests of yourself, your spouse/registered domestic partner, and dependent child(ren).

No

2. Do you, your spouse/registered domestic partner, and/or dependent children have any of the following financial interests related to your institutional responsibilities? More Information...
 - Total income or payment of services received over the past 12 months and/or equity interest in a publicly traded entity exceeding \$5,000 when aggregated
 - Total income or payment of services received over the past 12 months from a non-publicly traded entity exceeding \$5,000
 - Any equity interest in a non-publicly traded entity
 - Total payments received over the past 12 months for any intellectual property rights and interests (e.g., patent, copyright, assigned or licensed to a party other than the Regents) exceeding \$5,000

Yes

3. Have you received any travel reimbursement or been sponsored for travel (i.e. travel expenses paid on behalf of Investigator and not reimbursed to Investigator), in the past 12 months, by any entity related to your institutional responsibilities? This does not include travel sponsored or reimbursed by a federal, state, or local government agency, a US institution of higher education or an affiliated research institute, an academic teaching hospital, or a medical center. More Information...

No

Disclosures ▼ hide

Disclosures ?

	Event	Project Number	Project Title	Certification Date	Disclosure Status
1	▼ hide Travel Disclosure			03/29/2016	Submitted to COI

Destination/Location of Travel:	Texas	Travel Sponsor:	American Airlines
Purpose of Travel:	Flying	Estimated Value of Travel:	2500.00
Start Date:	03/14/02016	End Date:	03/18/02016

2	▼ hide Proposal	205003	cake	03/29/2016	Submitted to COI
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Proposal Number: 205003 ?

Proposal Name:	cake	Sponsor:	NATIONAL SCIENCE FOUNDATION
start Date:	2016-07-01	End Date:	2017-06-30
		PI Name:	BARBARA INDERWIESCHE

▶ show NSF Event Disclosure (Complete)

3	▶ show Travel Disclosure			02/17/2016	Submitted to COI
4	▶ show Travel Disclosure			02/10/2016	Submitted to COI

- **Save the Date!**
 - May 31, 2016
 - KC COI Open Forum
 - AM / PM Sessions – split into PHS and NSF
 - Open to Faculty and their support staff

- **Office of Research will provide:**
 - Communications
 - Recorded webinars
 - Increased eRA office hours
 - Written training materials available online
 - Targeted training
 - Adhoc training