# Gift Financial Interest Addendum

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| **I. BACKGROUND** | |
| **Name of Disclosing Individual:** Click here to enter your name. | |
| Are you the Director or Co-Director of a UCI Center/Institute/Organized Research Unit/Special Research Project, etc.? (Check one)  No  Yes, UCI Center name: Click here to enter text. | |
| Do you have a leadership role related to this conflict of interest? *(Example: Chair of Committee relevant to this conflict)*  (Check one)  No  Yes, full role title: Click here to enter text. | |
| (Optional) Admin. Contact Email: Click here to enter text. | |
| KR Protocol Number (IRB): # Click here to enter KR Proposal Development #.  Pending Submission | |
| **II. DISCLOSING INDIVIDUAL AND DONOR/ENTITY** | |
| **1. Donor/Entity Information** | |
| Name: Click here to enter entity name. | |
| Website: Click here to enter entity website. | Publicly traded entity  Privately held entity  Non-profit organization  Foreign entity (Formed/incorporated outside of US) |
| **Financial Interest(s) for you, your spouse/registered domestic partner, and dependent children in the above entity** (Check all that apply for 2a-2b)**:**  **2a. Income**  **2a. Total income received over past 12 months (Check one):**  None (Skip to 2c)  <$10,000  $10,000-$50,000 $50,001-$100,000  >$100,000  **2b. If you received a total of at least $10,000 in above question (3a), do you have a consulting agreement, speaker’s agreement, or similar agreement with the Entity?**  Yes, please attach (in email) a copy of agreement(s) from Entity  No  **2c. Do you anticipate receiving income from the Entity in the next 12 months?**  No  Yes, please check the appropriate range:  <$10,000  $10,000-$50,000 $50,001-$100,000  >$100,000  **3. Publicly Traded Equity Interests (**Stock, Stock Options and/or Other Ownership Interests, etc.)  % of Issued & Outstanding Shares of Entity (Check one):  None <5% 6-10% 11-25%  ≥26%  Estimated Total Current Stock Value held: $Click here to enter value.  Number of Shares: Click here to enter number.  Number of Stock Options: Click here to enter number.  **4. Private Equity Interests** (Stock, Stock Options and/or Other Ownership Interests, etc.)  % of Issued & Outstanding Shares of Entity (Check one):  None <5% 6-10% 11-25%  ≥26%  Estimated Total Current Stock Value held: $Click here to enter value.  Number of Shares: Click here to enter number.  Number of Stock Options: Click here to enter number. | |
| **III. ENTITY AND PROJECT** | |
| **1. Describe the intended research use of the gift and how it benefits the University’s mission of teaching, research, and public service:** Click here to enter text. | |
| **2. Describe how the intended research use of the gift funding overlaps or does not overlap with the interests of the Donor.** Click here to enter text. | |
| **3. Existing Intellectual Property (IP) involved in this study is**  Not applicable (skip to section IV)  Assigned to the Entity  Assigned to UC Regents &  is licensed to the Entity  is in the process of being licensed to the Entity  Other: Click here to enter text.  **3a. Disclosing Individual**  Disclosing individual is an inventor on this IP involved in the research gift.   * Provide patent name and number or provisional patent application information: Click here to enter text. * Provide brief non-technical description of the IP: Click here to enter text. | |
| **IV. VERIFICATION** | |
| By typing my name below as my signature, I certify under penalty of perjury that this is a complete disclosure of all financial interests related to the entity and that I have used all reasonable diligence in preparing this Addendum, which is true and complete to the best of my knowledge.  Signature: Click here to type full name certifying the above statements. Date: Click here to enter a date. | |
| Notes:  The information provided herein may be released or transmitted to the sponsor, including federal agency representatives, and according to the California Public Records Act, may also be released to the public, upon request. These records will be retained per the applicable retention policy or until resolution of any action by the sponsor, whichever is greater. The Office of Research is the office of record. | |