

The Conflict of Interest Oversight Committee (COIOC) fully supports the development of relationships with outside entities as long as the appropriate measures exist to protect the objectivity of the research and interests of students and research subjects, as well as to comply with the various state and federal policies. The primary purpose of the COIOC process is to review and document how the potential conflict of interest issues are addressed and provide recommendations or changes when necessary, which is why openness and transparency are especially important.

To help speed the process, please consider the below common conflict of interest concerns and highlight or implement solutions in your Addendum responses. For more ideas, please review [Addressing COI Risks](#). Thank you for your cooperation and commitment to protecting highest levels of research integrity. If you have any questions, please contact the COI Team at coioc@research.uci.edu.

Update: To promote transparency and best practices in mitigating conflict of interest concerns, the COIOC, as of June 1, 2021 will require disclosure of related financial interests to all research personnel involved in that related study. Accordingly, the COI Forms have been updated to include an additional section, “Research Safeguards - COI Point Person and Study Team Disclosure” with instructions on this new required procedure.

-Conflict of Interest Oversight Committee

Common Conflict of Interest Concerns

The table below is directed to the “disclosing individual,” the researcher who has financial interests related to the project that require COIOC review.

COI Concern	Possible Solution(s)	Addendum Suggestions
Do research personnel (students, postdocs, staff, etc.) on this project report to you?	Add a peer (i.e., an independent, non-conflicted, equal rank or higher) researcher to supervise or co-supervise the research personnel on this study.	Provide a clear description of your role on the project including what aspects you do <u>not</u> participate in to help the COIOC understand where the potential risks are. Include the name, title, and role of the non-conflicted researcher. Submit the Liaison Acknowledgement Form , signed by the non-conflicted peer, with your Addendum submission.
Are you solely conducting or responsible for the data collection, analysis, and/or reporting?	Remove yourself from certain aspects, if possible, to allow only non-conflicted individuals to conduct those parts of the project. Add non-conflicted individuals to participate in the study in those areas where you originally were the sole person involved.	Clearly specify if you are or are not involved in data collection, analysis and/or reporting. Highlight any non-conflicted individuals involved in these aspects of the study especially if they are non-junior to you.
Do you have private access to the data?	Develop a process to share the data in group settings with measures to ensure data integrity.	Describe how you will ensure data integrity. For some ideas, please review Case Studies .
Are there any non-standard publication restrictions?	Collaborate with the Contracts & Grants Officer to negotiate the removal of this language. Publication restrictions create problems with regards to protecting students’ academic progress, fulfilling the University’s public service mission, and export control.	If the publication restrictions are allowed by the C&G Officer, provide a description of the restrictions.