

Continuation

Incorporate the 4 following articles.

DISCLOSURE OF CONFLICT OF COMMITMENT AND CONFLICT OF INTEREST

This award required that all current and pending research support, as defined by Section 223 of the FY21 National Defense Authorization Act to be disclosed at the time of proposal, for all covered individuals. Such disclosure will be updated annually during the performance of any research project selected for funding, and whenever covered individual are added or identified as performing under this project. Covered Individuals are those who are listed as key personnel on proposals including but are not restricted to the principal investigator or co-principal investigator.

Any decision to accept a proposal for funding under this announcement will include full reliance on the applicant's statements. Failure to report fully and completely all sources of project support and outside positions and affiliations may be considered a materials statement within the meaning of the federal False Claims Act and constitute a violation of law.

The funding agency was required to conduct a Pre-Award conflict of interest/conflict of commitment review of any proposal selected for funding, as defined in the National Security Strategy for United States Government-Supported Research and Development (NSPM-33) and the DoD Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions. Offerors are advised that any significant conflict of interest/conflict of commitment identified may be a basis for the rejection of an otherwise awardable proposal. Be further advised and aware that none of the funds authorized to be appropriated or otherwise made available for the DoD for fiscal year (FY) 2025 may be obligated or expended to award a grant, contract, or other assistance to an institution of higher education for the specific purposes of conducting fundamental research in collaboration with a covered entity that is included on the most recent version of the list developed pursuant to section 1286 of the National Defense Authorization Act (NDAA) for FY 2019, as amended, or to any employees of such institutions. Similarly, the DoD is prohibited from providing funding to or making an award of a fundamental research project proposal in which a covered individual is participating in a malign foreign talent recruitment program. No U.S. institution of higher education that hosts a Confucius Institute may receive DoD funds.

(1) General Requirement for Disclosure: You and your organization must disclose any potential or actual scientific or non-scientific Conflict of Interest(s) to us. You must also disclose any potential or actual conflict(s) of interest for any subrecipient you include in your proposal. You and your organization must disclose within five (5) business days of awareness. You must provide enough information for us to evaluate your disclosure. We may have to ask you more

questions if we need more information. At our discretion, we may ask you for a conflict-of-interest mitigation plan after you submit your proposal. Your plan is subject to our approval.

(2) Scientific Conflict of Interest: Scientific collaborations on research and development projects are generally the result of close collaboration prior to the submission of applications for support. Accordingly, virtually all of these collaborations might be considered to include a potential conflict of interest. The potential conflict is mitigated by the disclosure of these collaborations, and the list of current and pending support you provide for senior and key researchers.

AFOSR-SPECIFIC SECURITY RISK REVIEW INFORMATION

(1) Security Risk Review. Each proposal submission was subject to a Risk-based Security Review prior to selection for award. The Risk-based Security Review is applied to federally funded research designed to help protect Department of the Air Force Science and Technology (S&T) by identifying possible vectors of undue foreign influence. AFRL will follow all policy and procedures outlined in Air Force Research Laboratory (AFRL) Instruction AFRLI 61-113, Science and Technology Protection for the Air Force Research Laboratory and Department of the Air Force Instruction DAFI 63-101/20-101, Integrated Lifecycle Management. The Risk based Security Review will be conducted in accordance with OUSD(R&E)'s "Policy for Risk Based Security Reviews of Fundamental Research" and the most updated Decision Matrix.

(2) Security risk review was developed for all proposed Senior/Key personnel and "Covered Individuals." These risk reviews were based on information disclosed in a Research and Related Senior and Key Person Profile and Security Questionnaire. In addition, any accompanying or referenced documents, and publicly available information, will be utilized in risk reviews as well as classified information. Nationality or citizenship are not factors in the security risk reviews.

(3) External Engagements. When considering all external engagements, AFRL incorporates a holistic decision making process that encompasses technical and security factors. The security review method implemented by AFRL measures risk factors to identify the appropriate Risk Acceptance Level (RAL) within the organization. The objective analysis of the security risk factors is conducted to empower AFRL's S&T leaders to make risk informed decisions. The review process looks at the risk factors indicated in the most recent DoD Component Decision Matrix to Inform Fundamental Research Proposal Mitigation Decisions.

ACTIONS REQUIRED BY COVERED INDIVIDUALS

(1) Covered Individual. An individual who contributes to a substantive, meaningful way to the scientific development or execution of a research and development project proposed to be carried out with a research and development award from a federal research agency; and is designated as a covered individual by the federal research agency concerned. *See* 42 U.S.C. § 6605, Definitions.

(2) Federal law requires that all current and pending research support, as defined by 42 U.S.C. §6605, must be disclosed at the time of proposal submission, for all covered individuals. If the position is outlined in the proposal, AFOSR views this as a covered individual. The Government may require an updated disclosure during the performance of any research project selected for funding. The Government will require an updated disclosure whenever covered individuals are added or identified as performing under the funded project.

(3) Covered Individuals are also required to sign the “Privacy Act Statement” and provide such signed statement to the Applicant/Recipient for submission with the proposal.

ACTIONS REQUIRED BY RECIPIENTS DURING PERIOD OF PERFORMANCE

(1) Recipient will utilize a section of the Research Progress Performance Report (RPPR) to provide disclosure updates for Senior/Key Research Personnel. In addition, whenever a new covered individual(s) is to be added or identified as performing under the funded project, a new Research and Related Senior and Key Person Profile will be required prior to continued performance.

(2) If, at any time, during performance of this award, the Recipient learns that its Senior/Key Research Personnel (including any sub-awardee personnel who receive this designation) are or are believed to be participants in a Foreign Government Talent Program or have Foreign Components with a strategic competitor or country with a history of targeting U.S. technology for unauthorized transfer, the Recipient will notify the Contracting/Grants/Agreements Officer within five (5) business days of awareness.

(3) This disclosure must include specific information as to the personnel involved and the nature of the situation and relationship. The Government will review this information and conduct any necessary fact-finding or discussion with the Recipient. The Government’s determination on disclosure may include acceptance, mitigation, or termination of the award. The Recipient will be required to flow down this provision to all sub-awardees who have personnel designated as Senior/Key Research Personnel as a result of their involvement in the performance of the research.

Revised Reporting Requirements

Interim and Final Research Performance Progress Reports (RPPR): You must submit reports in accordance with the chart below.

TYPE OF REPORT	DUE DATE	SUBMIT TO
Interim Research Performance Progress Report	31 Aug 2024	Pgm Mgr** & https://afosr.gov1.qualtrics.com/jfe/form/SV_a6

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Interim Research Performance Progress Report	31 Aug 2025	Pgm Mgr** & https://afosr.gov1.qualtrics.com/jfe/form/SV_a66xjgBucxq4lXU
Interim Research Performance Progress Report	31 Aug 2026	Pgm Mgr** & https://afosr.gov1.qualtrics.com/jfe/form/SV_a66xjgBucxq4lXU
Final Research Performance including SF 298 Report Documentation Page	29 Nov 2027	Pgm Mgr** & https://afosr.gov1.qualtrics.com/jfe/form/SV_a66xjgBucxq4lXU
Final Report of Inventions and Subcontracts (DD Form 882)	29 Nov 2027	afrl.afosr.pkcontracting@us.af.mil
Final Federal Financial Report (SF 425), Including Line-Item 11	29 Nov 2027	Grant Admin Office (Block 12 of Basic Grant Award Form) & afrl.afosr.pkcontracting@us.af.mil
Quarterly Federal Financial Report (SF425)	* See below	Grant Admin Office (Block 12 of Basic Grant Award Form)

** The Program Manager/Officer is shown on page 1 of the basic Grant award in Block 15.

* SF 425 Quarterly Federal Financial Reports are required if any payment is made by an advance. The quarterly end dates that must be used are 31 Mar, 30 Jun, 30 Sep, and 31 Dec. The reports must be submitted within thirty (30) days after the end of each reporting period.

Quarterly Federal Financial Reports (SF 425) are not required if all payments are by reimbursement.

AFOSR prefers Performance Reports be submitted electronically, however, if the awardee does not have access to electronic means, send paper copies of Performance Reports to the appropriate Program Manager and office symbol listed in Basic grant.

All records pertinent to this award must be retained for a period of at least three years from the date of submission of the Final Federal Financial Report.