## UC Irvine Conflict of Interest Disclosure Chart

### Disclosure Requirements

Disclosing individuals must disclose their financial interests received within the 12 months prior to disclosure submission including the financial interests of his/her spouse/registered domestic partner, and/or dependent child(ren). All positive disclosures must be approved prior to acceptance of the award or additional funding, to expenditure of funds, and/or to IRB review and approval of the protocol. Non-UCI Researchers have different COI forms. Refer to our site [here](#).

**STATE LAW** | **NATIONAL SCIENCE FOUNDATION and NATIONAL AERONAUTICS & SPACE ADMINISTRATION** | **PUBLIC HEALTH SERVICE and DEPARTMENT OF ENERGY** | **HUMAN SUBJECTS**
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**Reporting Triggers** | **Research contract/grant from a non-governmental entity as the prime and/or direct sponsor** | **PHS grant, cooperative agreement, contract or DOE financial assistance award (e.g., grant, cooperative agreement or technology investment agreement)** | **Any study involving human subjects**
- Research Gifts earmarked for a specific individual or a specific research project & Material Transfer Agreement | **Non-governmental entity which flows down NSF/NASA funds (including SBIR/STTR subawards)** | **Non-governmental entity which flows down PHS/DOE funds** |  
- **Exclusions** | **California Institute for Regenerative Medicine (CIRM) Grant, Juvenile Diabetes Research Foundation (JDRF) Grant** | **Research Funding from organizations that follow PHS/DOE disclosure requirements (i.e., American Cancer Society, American Heart Association). Complete list [here](#)** |  
- **Who** | **UC Special Research Programs (HIV/AIDS, breast cancer and tobacco related disease)** |  |  
- **What to Disclose** |  |  |  
- **When to Disclose** |  |  |  
- **Forms** |  |  |  

### Exclusions

- All exempt sponsors: [FPPC exempt list](#)
- All non-profit, tax-exempt educational institutions
- All non-profit entities (excluding (1) travel that is reimbursed regardless of the value (2) research personnel, travel reimbursed or sponsored (3) local government agency, a domestic Institution of higher education, or a research institute affiliated with an Institution of higher education within US (for PHS only- also exclude an academic teaching hospital, a medical center); and (2) sponsored travel or reimbursed travel for the Investigator’s spouse/registered domestic partner and/or dependent children.

### Threshold Question

- **STATE LAW**
- **National science foundation**
- **Public Health Service**
- **Human Subjects**

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1. "Equity interest" includes stock, stock options, private equity and other ownership interests.