

## INTERNATIONAL SHIPMENT FORM

*Excludes Personal Shipments*

Equipment and materials may not be sent or carried outside of the U.S. until it is determined if an export license or other authorization is required. The information on this form is used to make export licensing determinations. The request should be submitted to the [Export Control Office](#) as far in advance of shipping date as possible since it can take on average 3 months to obtain a license if required. Until you receive approval from Export Control, item(s) may not be transferred or carried to a foreign destination. **Please complete Sections 1-5 and return to [exportcontrol@uci.edu](mailto:exportcontrol@uci.edu).**

SECTION 1: CONTACT INFORMATION			
Faculty Name:		Department:	Administrator Name (if applicable):
SECTION 2: METHOD OF SHIPMENT			
<input type="checkbox"/> Hand-carried and/or as baggage Traveler Name: Traveler Affiliation: Dates of Travel: <input type="checkbox"/> Item(s) will be returned to the U.S. with the traveler		<input type="checkbox"/> Shipment via freight forwarder or transport carrier <input type="checkbox"/> FedEx <input type="checkbox"/> UPS <input type="checkbox"/> American Cargo Services <input type="checkbox"/> Other: <input type="checkbox"/> Item(s) will be returned to the U.S. When? How?	
SECTION 3: DESTINATION AND END-USER			
Recipient:		Institution:	Country:
What is the purpose of the shipment? <input type="checkbox"/> Research Collaboration <input type="checkbox"/> Return to Manufacturer for Repair <input type="checkbox"/> Equipment Loan <input type="checkbox"/> Material Transfer Agreement <input type="checkbox"/> Sale of Equipment <input type="checkbox"/> Other:			
Will the end-user be involved in any of the following activities or industries? <input type="checkbox"/> Nuclear Activities <input type="checkbox"/> Chemical or Biological Weapons <input type="checkbox"/> Missile Technology <input type="checkbox"/> Proliferation Activities <input type="checkbox"/> None			
What is the intended use of the item?			
Will foreign national end-users be trained on how to use the equipment/materials? <input type="checkbox"/> No <input type="checkbox"/> Yes:			
SECTION 4: EQUIPMENT/MATERIAL			
Item No. 1:		<input type="checkbox"/> UCI Built*	Quantity: Total Value: \$
Manufacturer:	Model/Serial Number:	Product URL:	
General Function & Use:			
Item No. 2:		<input type="checkbox"/> UCI Built*	Quantity: Total Value: \$
Manufacturer:	Model/Serial Number:	Product URL:	
General Function & Use:			

\*If UCI built, please attach technical specifications (i.e., PowerPoint, published papers, poster, etc.).

## SECTION 5: COMPLIANCE QUESTIONS

Does the shipment involve biological materials, chemicals, compounds, or mixtures? ☐ No ☐ Yes. Please complete questions A-F.

**A.** Is the material a human or animal pathogen/toxin (viruses, bacteria, toxins, fungi)?

☐ No ☐ Yes, please identify the pathogen/toxin:

**B.** Is the material a "genetically modified organism" that contains a human or animal pathogen/toxin? *"Genetically modified organisms" include organisms in which the nucleic acid sequences have been created or altered by deliberate molecular manipulation.*

☐ No ☐ Yes, please identify the pathogen/toxin:

**C.** Is the material a "genetic element" that codes for **any** gene(s) or translated product(s) specific to a human or animal pathogen/toxin? (e.g. lentiviral plasmid encoding VSV-G) *"Genetic elements" include, inter alia, chromosomes, genomes, plasmids, transposons, vectors, and inactivated organisms containing recoverable nucleic acid fragments, whether genetically modified or unmodified, or chemically synthesized in whole or in part. Nucleic acids from an inactivated organism, virus, or sample are considered to be 'recoverable' if the inactivation and preparation of the material is intended or known to facilitate isolation, purification, amplification, detection, or identification of nucleic acids.*

☐ No ☐ Yes, please identify the pathogen/toxin:

**D.** Is the material a plant pathogen (viruses, bacteria, fungi)? ☐ No ☐ Yes, please identify the pathogen:

**E.** Is the material a chemical, compound, or mixture? ☐ No ☐ Yes. Please answer the following questions:

- Does the material have a CAS number? ☐ No ☐ Yes, please identify:
- Please review Category 1 of the [Commerce Control List \(CCL\)](#) at [1C111](#) and [1C350](#) and [Category V](#) and [Category XIV](#) of the [U.S. Munitions List \(USML\)](#). Is the material identified on either list?

☐ No ☐ Yes, please identify:

**F.** Excluding transfers back to the U.S., will the material be re-exported/transferred to a different country or recipient than what has been identified in Section 3? ☐ No ☐ Yes, please explain:

## SECTION 6: EXPORT CONTROL REVIEW (For Export Control Office Use Only)

ECCN or USML Category: RPS Results: RPS Escalation: ☐ No ☐ Yes:

Export License Required: ☐ No ☐ Yes: EEI Required: ☐ No ☐ Yes Other:

Comments:

Approved by:

Date: