

Quarterly Research Administration Meeting

December 18, 2019

Networking and Icebreaker (1:00-1:30)

- 1. Complete names in boxes to create a row:
Vertical, Horizontal, Diagonal (optional: yell “BINGO!”)**
- 2. Bring your Bingo to the front to enter you name into a raffle.**
- 3. Stay awake. We’ll hold the raffle halfway through the agenda. You must be present to win.**

Agenda

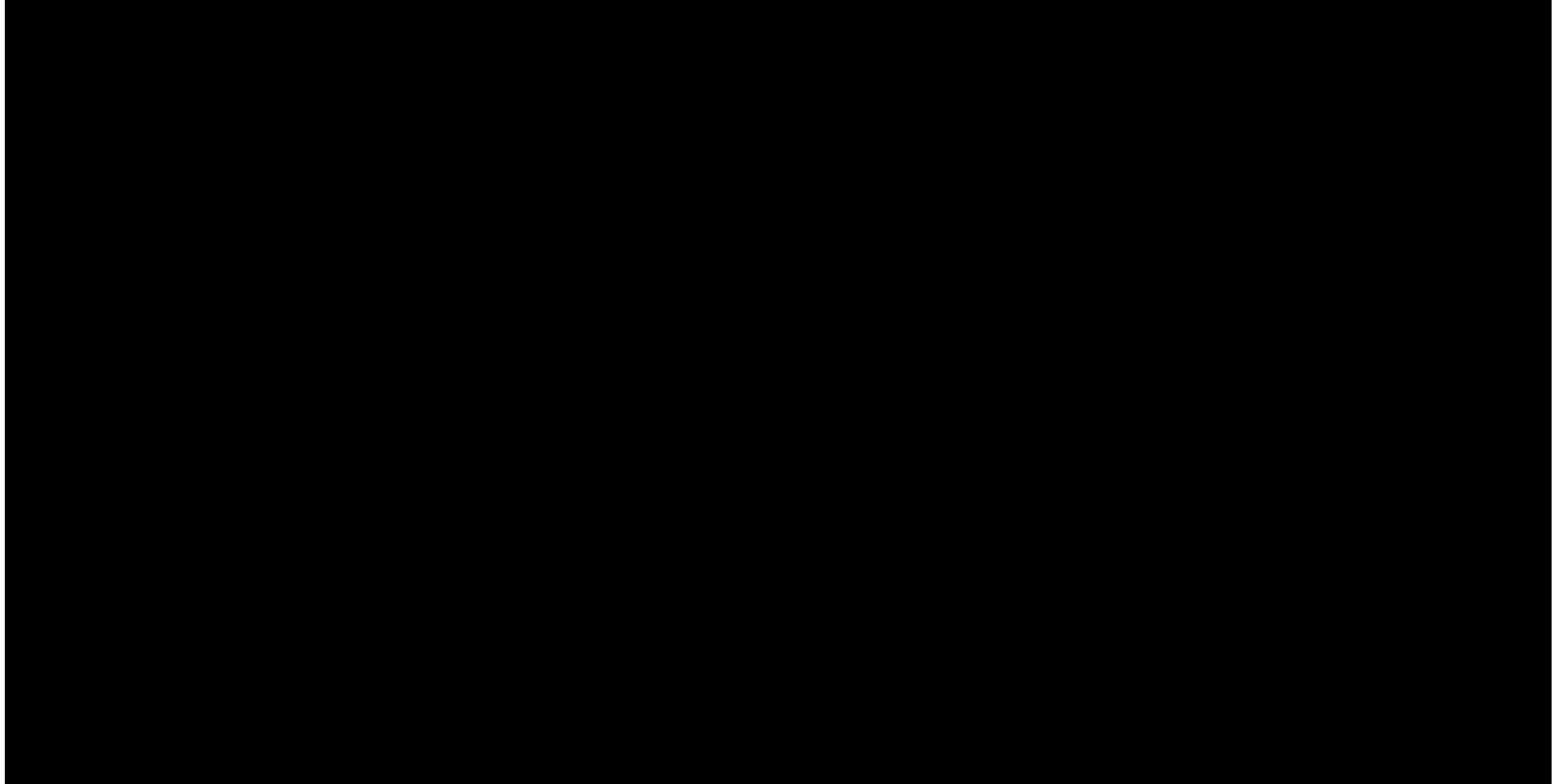
- ***Networking and Icebreaker (1:00-1:30)***
- General Announcements and Updates
- FY 2018-19 Funding Recap
- NIH Diversity Supplements
- Common Rule: Cooperative Review
- Budgeting Vacation Assessment, UCR Interest Assessment, and GAEL in Proposals
- UC Path Composite Benefit Rate Mitigation Plan for Contracts and Grants
- Cost Share System and PI Report Transition to UC Path
- Payroll Report C&G Funds
- KR Award and DW Query
- *Table Topics (3:00-3:30)*

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NIH Diversity Supplements

Diana Vigil-Stephens

Education Director

Institute for Clinical and Translational Science



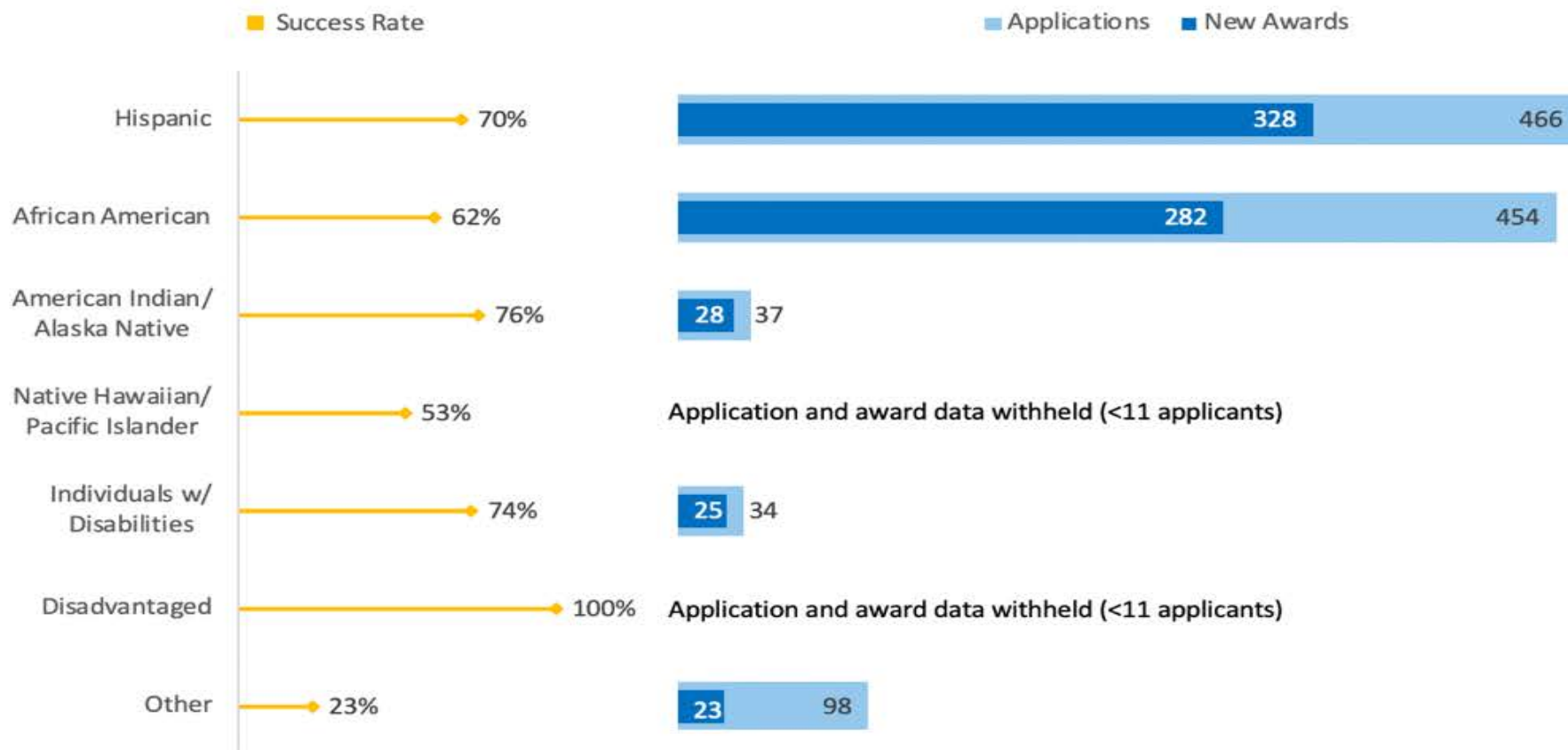
What are diversity supplements?

- NIH administrative supplements
- Available through PA-18-586 (<https://grants.nih.gov/grants/guide/pa-files/pa-18-586.html>)
- Most institutes participate
- Applicable grants with 2+ years of funding. Some types include:
 - R01; R03; R21; R41
 - P01; P30; P50; P60
 - U54; U24
 - DP 1-5

Who can apply?

- Applications come from a scholar through the main grant PI
- Applications include scholars research project as an off shoot of the main award
- Scholars must be an underrepresented minority, disabled or from a disadvantaged background (<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-20-031.html>)
- Scholars can be various levels:
 - High School Students
 - Undergraduate Students
 - Baccalaureate and Master's Degree Holders
 - Graduate (Predoctoral) and Health Professional Students
 - Individuals in Postdoctoral Training
 - Investigators Developing Independent Research Careers

<1% of Diversity Supplement Applicants in FY 2018 Came in under the Disadvantaged Category



Note: NH/PI – Native Hawaiian and other Pacific Islanders; AI/AN – American Indian and Alaska Native; Other – race unknown

How to apply?

- Each participating institute has specific instructions (https://grants.nih.gov/grants/guide/contacts/Diversity-Supp_contacts.html):
 - Dates
 - Types of scholars
 - Budget constraints
- Applications are structured similarly to a career development award
- Typically provide 2 years of support
- Administratively reviewed

Diversity Supplements

Questions?

Diana Vigil-Stephens
Education Director
Institute for Clinical and Translational Science
Dvigil1@uci.edu
949-824-1231

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Cooperative Research

Valerie Sanchez, MA, CCRP, CIP
IRB Administrator

December 18, 2019

What is Cooperative Research?

- AKA
 - single IRB (sIRB)
 - Reliance
 - Trust & Rely
- IRBs
 - Institutional Authorization Agreement (IAA)
 - Memorandum of Understanding (MOU)

When does it Apply?

- Approved on or after 1/20/20
- [45 CFR 46.114 \(a\)](#): Cooperative research projects are those projects ...involve more than one institution.
- Non-Exempt Research
- National Institutes of Health (NIH)
- [Agency/Dept](#) signed 2018 Common Rule

What's Next?

- Town Halls in 2020
 - 1/8/20 at 9:30am (Campus: SBSG 1321)
 - 1/9/20 at 9:30am (Library 22A, Rm 2105-2106)
- [HRP Common Rule Webpage](#)
- [HRP sIRB Webpage](#)

Contact Information

Valerie Sanchez
Administrator
949-824-7735

Angelina Oh
Sr. Analyst
949-824-6269

Muzhgan Afzal
Research Protections Assistant
IRBReliance@uci.edu

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Budgeting Vacation Accrual, UCRP Interest Assessment and General, Automobile, and Employment Liability (GAEL)

- GAEL: Assessed on all non-federal (both direct and flow through) funding sources
 - Calculate based upon a percentage of payroll
 - Budget in the “Other Direct Cost” category
- Vacation Accrual: Assessed on all funding sources.
 - Calculate based upon a percentage of payroll
 - Budget in the “Fringe Benefit” category by adding the percentage to the composite benefit rate (CBR)
 - Example: $\text{CBR} - 23.20\% + 7.0 \text{ vacation accrual} = 30.20\% \text{ benefit rate}$

Budgeting Vacation Accrual, UCRP Interest Assessment and General, Automobile, and Employment Liability (GAEL)

- UCRP Interest Assessment: Assessed on all non federal (both direct and flow through) funding sources
 - Calculate based upon a percentage of payroll
 - Budget in the “Fringe Benefit” category by adding the percentage to the composite benefit rate (CBR) and vacation accrual
 - Example: $\text{CBR} - 23.20\% + 7.0 \text{ vacation accrual} + .77 \text{ UCRP interest assessment} = 30.97\% \text{ benefit rate}$

Questions?

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Composite Benefit Rate (CBR) Mitigation Plan

- Applies to contract and grant awards (excludes clinical trials) that are negatively impacted due to the change in benefit rate methodology (including vacation accrual)
- Criteria
 - Proposal submitted on or before January 1, 2020
 - Award has to be active or within 90 days of end date at the time of request
 - Impact to award is 5% of annual expenditures or exceeds \$5,000
 - Example: \$50,000 annual award - CBR impact would have to exceed \$2,500 to qualify for mitigation

Composite Benefit Rate (CBR) Mitigation Plan

- Guidance Document and Calculations Sheet:
<https://budgetoffice.uci.edu/pdf/cbr/CBR-Impact-Calculation-Sheet-FINAL.xlsx>
- UC Path CBR website:
https://budgetoffice.uci.edu/composite_benefit_rates.php
- Submit mitigation requests to Nancy Im at nancyim@uci.edu in the Budget Office after following the steps in the Guidance Document

Questions?



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Contracts & Grants Accounting Update

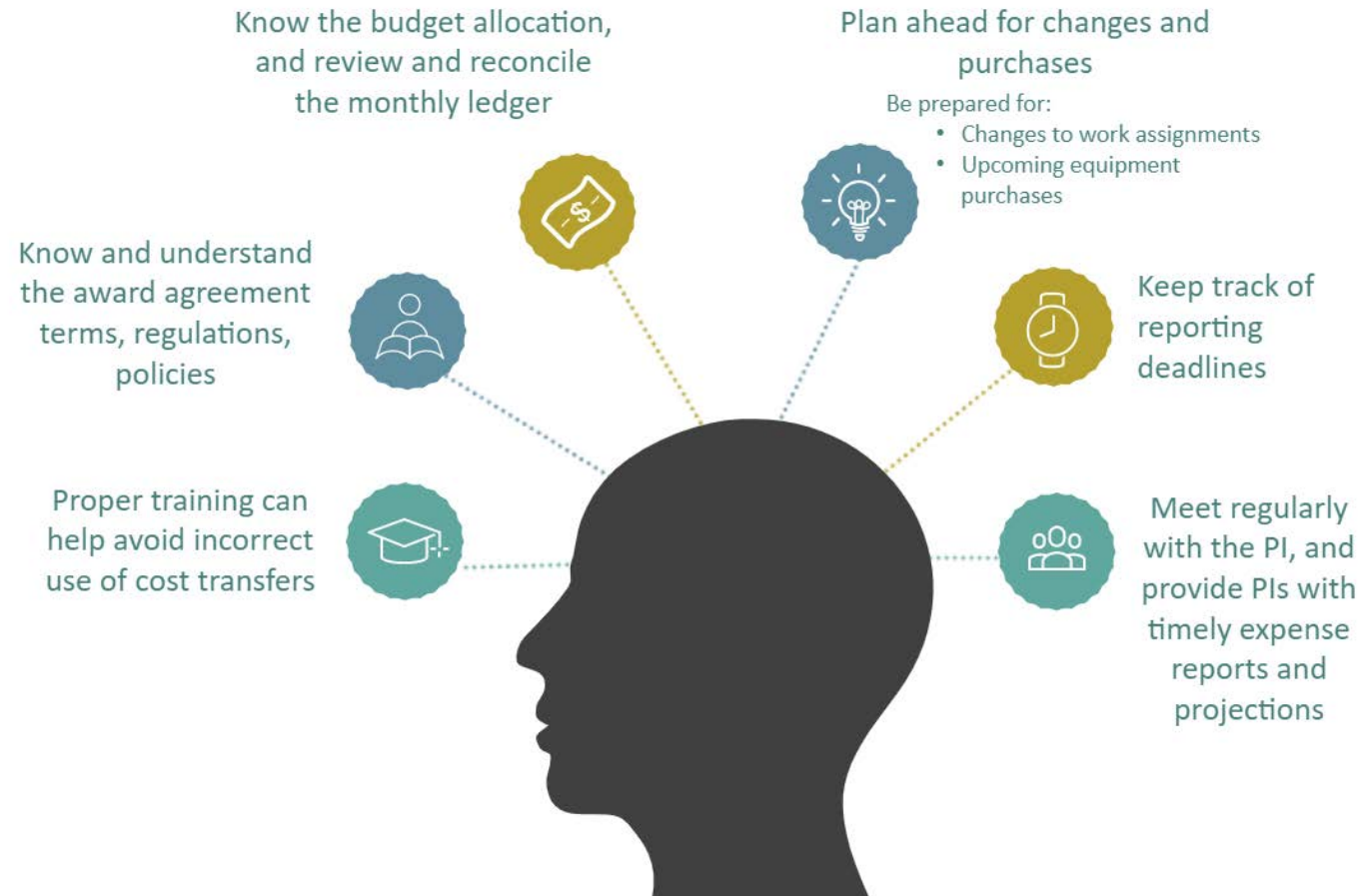
Beata Najman

Agenda

- CGA General Updates
- New Online Cost Transfers Training Modules - available at UCLC
- New Cost Share System
- PI Report Transition to UC Path

Online Cost Transfers Training

▶ HOW TO AVOID USING COST TRANSFERS?



New Cost Share System – January 1, 2020

- All CS subaccounts will be closed on December 21
- Cost sharing will become a KFS document
- Payroll Certification lookup will be changed to C&G Certifications and will be modified to enable initiation of both Payroll Certification and Cost Sharing (C&G Tracking lookup)
- Expenses to be shared can be searched for and added to the document
 - Salary, Non-Salary, and Third Party Cost Share entries
 - All cost sharing will take place in KFS
- Training sessions are scheduled for December and January and will continue through the end of March

UNIVERSITY OF CALIFORNIA, IRVINE

PI Report Transition to UC Path

- The PI Report (UCPath) go-live date will be the day after the December month-end date in January
- From that date on, users will be seeing salary commitment from the UCPath Funding Entry page
- Some down time is expected

PI Report Transition to UC Path

- Users will not be able to refer back to PPS Distribution records any longer outside of saved commitments from the previous month
- We will turn off the automated PI Report month-end update process from the batch scheduler since we are not sure when the December month-end date will be in January in case the UCPath DDODS is delayed

PI Report Conversion Scope

- Minimally change code without changing the overarching PI Report design
- Changes are only limited to Salary and Benefit projection screens and reports. Examples include:
 - Addition of Jobcode and Earnings Code
 - Benefit projection based on CBR rate
- Updates to labels throughout the system to eliminate FS/PPS references and introduce UCPATH terminology
- Further improvements will be made post UCPATH go-live

Benefit Projection Changes (Academics)

- Benefit Rate is now replaced with Empl Class and CBR Rate
- As a default, CBR Rate is assigned based on the Job Code which is tied to Empl Class. Then the Empl Class drives CBR Rate.
- When the user changes Empl Class, CBR Rate is automatically changed

Empl Class	CBR Rate	Benefits Amount M
11 *	0.0230 *	115.00
3 Academic: Recall		50.00
9 Academic: Faculty		
10 Academic: Non Faculty		0.00
11 Academic: Academic Student		55.61
22 Academic: Deans/Faculty Admin		85.03
23 Academic: Post Docs		49.45
24 Academic: Medical Residents		
1 Staff: Contract		
2 Staff: Career		
4 Staff: Limited		
6 Staff: Per Diem		
7 Staff: Partial Year Career		
8 Staff: Floater		
15 Staff: Rehired Retiree		

Benefit Projection Changes (Staff)

- Unlike academic positions, staff jobcode is not linked to Empl Class; therefore, when the user changes Empl Class, system suggests possible rates

Empl Class	CBR Rate	Benefits Amount	Mor
11	0.0230	115.00	1
9	0.3550	3,550.00	1
1		0.00	1
11	0.0000	55.61	1
9	0.4380	335.03	1
9	0.5220	349.45	1
9	0.6940		1

Minor enhancements and cleanups

- For unrestricted funds, the system now displays the account title under the project title (previously the title was blank)
- For fiscal year accounts, the projection now runs until the end of fiscal year (previously the projection amounts were over inflated)
- UC Account and Fund always shows with the KFS Account Number

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Payroll Report -- Demo

Kevin Chung, Accounting

Matt Levin, OIT

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ERA News

Jonathan Lew and Alison Yeung

Coming Soon!

- DWQuery
 - January 2020
 - Replacement for Data Warehouse
- Proposal & Award Data Report in Cognos
 - Fill the gap until DWQuery is online
- Self-Service Reports in Cognos
- Subaward Transaction Summary (STS) Report
- Administrative Contact to be added automatically


Enhancements to Award Transaction Summary


Award Transaction Summary.

At least one of the following prompts are required.

Enter the Sponsor Award Number:

Enter the Document Number:

 Enter the UC Fund Number:


 **Principal Investigator**

Type in PI name and click 'Search'.
Ex: Smith

[Options](#) ▾

Results:

Choice:

 **Sponsor**

Type in Sponsor Code.
Ex: 005400

[Options](#) ▾

Results:

Choice:

[Back](#)

Award Transactions

Click on 'Award Transaction Summary' to view the Award Transaction Summary

Run Date/Time: 12/18/2019 11:10:45 AM
Page #: 1 of ?
of Records: 5
Run by:

Principal Investigator: KATRINE WHITESON

Lead Unit: IR-8039 - BIO SCI-MOL BIO & BIOCHEM

Sponsor	IP Nbr	Legacy Proposal Number	Sponsor Award Nbr	Mod Nbr	KR Doc Nbr	KR Award Nbr	Version	Transaction Type	SPA Officer	Finalize Date	Total Costs	Cost Share Amt	View
009268 - UNIVERSITY OF MICHIGAN	04535669	206206	3004645884		2360323	101256-00003	1	New	admin	01/09/2018	\$84,678		Award Transaction Summary
009268 - UNIVERSITY OF MICHIGAN	04535669	206206	3004645884	1	2360329	101256-00004	1	Continuation	admin	03/19/2018	\$97,880		Award Transaction Summary
009268 - UNIVERSITY OF MICHIGAN	04535669	206206	3004645884	2	2360335	101256-00005	1	Continuation	admin	04/15/2019	\$90,528		Award Transaction Summary
009268 - UNIVERSITY OF MICHIGAN	04535669	206206	3004645884	3	2460268	101256-00006	1	Agency Modification	mcandrad	11/14/2019	\$0		Award Transaction Summary
009268 - UNIVERSITY OF MICHIGAN	04535669	206206	3004645884	4	2467165	101256-00007	1	Continuation	mcandrad	12/17/2019	\$63,836		Award Transaction Summary
Overall - Total											\$336,922		

Award Attachment Repository

Click on 'Notice of Award Doc' to view Award Attachment

Award Nbr	Sponsor Award Nbr	Award Attachment Description	Date of Attachment	View
101256-00001	3004645884	AWARD - Syn 3 - Mod 2; Year 3 funding	10/06/2019	Notice of Award Doc
101256-00001	3004645884	AWARD - Syn 1; Fully Executed	10/06/2019	Notice of Award Doc
101256-00001	3004645884	AWARD - Syn 2 - Mod 1; Fully executed	10/06/2019	Notice of Award Doc
101256-00006	3004645884	Doc Id 2460268, Amendment 3, 11/7/2019	11/14/2019	Notice of Award Doc
101256-00007	3004645884	Doc Id 2467165, Mod 4, 12/10/2019	12/17/2019	Notice of Award Doc

Award Transaction Summary Detail

Congratulations on your award! This page provides a summary of the information contained in the award issued by the sponsor. A separate KFS workflow notification will be sent to the Fiscal Officer when the expense account and fund for this award has been set up by Contracts and Grants Accounting. For a financial summary of this award, please refer to the Account Balance Overview tool located at <https://accounting.uci.edu/cg/index.html>.

Principal Investigator: MASASHI KITAZAWA

**Lead Unit: IR-6107 - MEDICINE/OCCUPATIONAL AND ENVIRONMENTAL
MEDICINE**

**Title: Deciphering the role of SYK as a key mediator of A beta clearance by
microglia**

Sponsor Award Nbr: AARF-16-440554

Mod Nbr:

UC Acct:

UC Fund:

If UC Account and/or UC Fund numbers are showing up as blank or outdated, please check back in 1-2 days.

AWARD TRANSACTION DETAILS

KR Award Nbr: **101922-00003**
Version: **3**
KR Doc Nbr: **2457877**
Institutional Proposal Nbr:
Previous Award Nbr:
Transaction Type: **No Cost Time Extension**
Award Type: **Grant**
Activity Type: **Training**
Project Start Date: **12/01/2016**
Project End Date: **02/28/2020**

OBLIGATIONS FOR THIS TRANSACTION

Direct Cost: **\$0**
Indirect Cost: **\$0**
Total Cost: **\$0**
Total Cumulative Cost: **\$175,000**

Obligation Start Date: **12/01/2016**
Obligation End Date: **02/28/2020**

Notice Date: **10/08/2019**

SPONSOR DETAILS

Sponsor: **008033 - ALZHEIMER'S ASSOCIATION**
Prime Sponsor:
On/Off Campus: **On-Campus**
*F & A Rate: **10.00 MTDC**
F & A Waiver Nbr: **135125**
CFDA Nbr:

COST SHARE AND PREAWARD SPENDING

Total Cost Share Amt to Date:
RAS Amt:
RAS Date:
RAS Comments:
SPA Officer: **gainesrd**
Administrative Contact: **Laura Massena**

Special Review

Compliance Type: IACUC - Approved - 2015-3193 - 01/01/2020
Compliance Type: IBC - Approved - 2016-1555 - 04/05/2019

Additional Key Personnel

Key Person: **SIOK LAM LIM**
Principal Investigator: **MASASHI KITAZAWA**

Principal Investigator

Type in PI name and click 'Search'.
Ex: Smith

[Options](#)

Results:

John Smith

[Select all](#) [Deselect all](#)

Choice:

John Smith

[Select all](#) [Deselect all](#)

[Back](#)

Award Transactions

Click on 'Award Transaction Summary' to view the Award Transaction Summary

Run Date/Time: 12/18/2019 12:11:37 PM
 Page #: 1 of ?
 # of Records: 9
 Run by: Allison Yeung

Principal Investigator: John Smith

Lead Unit: IR-6125 - PEDIATRICS-DEVELOPMENTAL/BEHAVIORAL

Sponsor	IP Nbr	Legacy Proposal Number	Sponsor Award Nbr	Mod Nbr	KR Doc Nbr	KR Award Nbr	Version	Transaction Type	SPA Officer	Finalize Date	Total Costs	Cost Share Amt	View
006902 - PARTNERS HEALTHCARE SYSTEM, INC	04519096	202875	226859	6	2467075	101167-00009	1	Incremental Funding	binderwi	12/13/2019	\$178,728		Award Transaction Summary

Lead Unit: IR-6136 - PEDIATRICS/CENTER FOR AUTISM AND NEURODEVELOPMENT DISORDERS

Sponsor	IP Nbr	Legacy Proposal Number	Sponsor Award Nbr	Mod Nbr	KR Doc Nbr	KR Award Nbr	Version	Transaction Type	SPA Officer	Finalize Date	Total Costs	Cost Share Amt	View
000736 - AUTISM SPEAKS	04559587	211263	AS-211263		2381887	102289-00003	1	New	admin	06/13/2018	\$5,000		Award Transaction Summary
000736 - AUTISM SPEAKS	00103019	103019	AUTISMSPEAKS-103019		2382577	102329-00003	1	New	admin	03/06/2014	\$4,987		Award Transaction Summary

Lead Unit: IR-7462 - PEDIATRICS

Sponsor	IP Nbr	Legacy Proposal Number	Sponsor Award Nbr	Mod Nbr	KR Doc Nbr	KR Award Nbr	Version	Transaction Type	SPA Officer	Finalize Date	Total Costs	Cost Share Amt	View
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328		2423920	104413-00003	1	New	admin	08/15/2016	\$20,374		Award Transaction Summary
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328	1	2423926	104413-00004	1	Continuation	admin	12/20/2016	\$82,751		Award Transaction Summary
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328	2	2423932	104413-00005	1	Continuation	admin	11/21/2017	\$112,801		Award Transaction Summary
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328	3	2423938	104413-00006	1	Agency Modification	admin	04/25/2018	\$36,352		Award Transaction Summary
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328	4	2423944	104413-00007	1	Continuation	admin	10/01/2018	\$140,999		Award Transaction Summary
00F60F - MASSACHUSETTS GENERAL HOSPITAL	04531289	205328	MGH-205328	5	2423950	104413-00008	1	Continuation	admin	08/14/2019	\$24,035		Award Transaction Summary
Overall - Total											\$606,027		

Award Attachment Repository

Click on 'Notice of Award Doc' to view Award Attachment

Award Nbr	Sponsor Award Nbr	Award Attachment Description	Date of Attachment	View
101167-00001	226859	AWARD: Syn 4/ Mod 3	10/06/2019	Notice of Award Doc
101167-00001	226859	AWARD: AIR-P Dental	10/06/2019	Notice of Award Doc
101167-00001	226859	AWARD: Syn 5/ Mod 4	10/06/2019	Notice of Award Doc
101167-00001	226859	AWARD: Syn 3/ Mod 2	10/06/2019	Notice of Award Doc
101167-00001	226859	AWARD: Syn 2/Mod 1	10/06/2019	Notice of Award Doc

Questions?

See you next time...

Spring QRAM
March 18, 2020
Pacific Ballroom AB