Quarterly Research Administration Meeting

March 23, 2022
Agenda

• Welcome

• **Oracle Cloud Financials - Exploratory Phase**

• Area Updates:
  – Sponsored Projects Administration
  – Research Engagement and Compliance
  – Contracts & Grants Accounting
  – Human Research Protections
  – Electronic Research Administration

• Q&A and Closing
Breakouts

• Groups of 5+
• 5 minutes
• Introductions:
  – Name
  – Department
  – Why you love QRAM
Agenda

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SPA Update

Nancy Lewis
SPA Staff Update

• Maria Diaz Romero has been promoted to Supervisor of the Federal Contracts and Grants Team. Congratulations Maria!
Federal Update
NSF Update
Proposal & Award Policies & Procedures Guide (PAPPG) – Anticipated Timeline

• Federal Register posting for public comment
  • Early Spring 2022

• PAPPG issuance
  • October 2022

• PAPPG becomes effective
  • January 2023
Goodbye FastLane Proposal Preparation...Hello Research.gov

- FastLane is targeted to be removed as a submission option from all funding opportunities when the new Proposals and Awards Policies and Procedures Guide (PAPPG) goes into effect January 2023.

- Most funding opportunities are currently supported in Research.gov

- Funding opportunities clearly specify whether submission via Research.gov is available or required
Training resources on the Research.gov About Proposal Preparation and Submission page:

- FAQs by topic
- Links to video tutorials including 16-minute demo video highlighting key proposal preparation features and preparing proposal file updates/budget revisions
- Link to Automated Compliance Checking of NSF Proposals page to view current Research.gov proposal compliance checks
- Links to topic-specific how-to guides (e.g., Initiating a Proposal and Sharing Access with Sponsored Projects Office/Authorized Organizational Representative)
NIH Update
NIH/DHHS Salary Limitation Increase

- Salary limitation on direct salary for individuals under NIH grants, contracts and cooperative agreement awards has increased to the **current Executive Level II salary of $203,700** effective January 2, 2022.

- Applicable to the agencies under the Department of Health and Human Services (DHHS), including, Agency for Healthcare Research and Quality (AHRQ) and the Substance Abuse and Mental Health Services Administration (SAMHSA).

- For UCI, this increase becomes effective for pay periods beginning February 1, 2022.
Publication of the Revised NIH GPS for Fiscal Year 2022

- Update is applicable to all NIH grants and cooperative agreements with budget periods beginning on or after October 1, 2021.
  - Previous versions of the NIH GPS remain applicable as standard terms and conditions of award for all NIH grants and cooperative agreements with budget periods that began prior to October 1, 2021.

- NIH will continue to publish interim grants policy changes and/or clarifications through the issuance of NIH Guide Notices, available here.

See details: NOT-OD-22-050
Publication of the Revised NIH GPS for Fiscal Year 2022 – Significant Changes Table

As is standard, a significant changes table was published alongside the GPS update.

- The full table is linked, with a few highlighted changes below:
  - Language related to updated Other Support and Biosketch requirements and links to instructions and resources were added to Sections 2.3.7.12: Biographical Sketches, 2.5.1: Just-in-Time, and 4.1.10: FCOI.
  - Language about the NRSA Childcare Cost initiative was added to Chapter 11: Ruth L. Kirschstein National Research Service Awards.
  - Clarifying language related to sIRB was added to Section 4.1.15.10: NIH Policy on the Use of Single Institutional Review Board.
  - Language was added related to application requirements, enhancing diversity, review, and reporting requirements for Conference Grants in Chapter 14.

See details: NOT-OD-22-050 and significant changes table
NRSA Childcare Costs: F’s and T’s

- NIH began providing childcare support to recipients of NRSA fellowships on April 8, 2021.
  - Applies to full-time NIH-NRSA-supported fellowship positions.
    - Each fellow is eligible to receive $2,500 per budget period. For households where both parents are NRSA fellows, each parent is eligible to receive $2,500.
- NIH also began providing childcare support to recipients of NRSA training grants awarded (continuation, new, or renewal) in FY 2022.
  - For eligible Training Grants, childcare costs will be provided, unless otherwise specified on the notice of award, based on the number of trainee slots awarded.
  - No additional action is needed at the time of application to request these funds.

The Office of Research, Accounting and Graduate Division are working on a reimbursement process that will be announced soon. In the meantime, please contact Nancy Lewis if you need to reimburse fellows/trainees for childcare costs.

F’s: NOT-OD-21-074
T’s: NOT-OD-21-177
Implementation of Changes to the Biographical Sketch and Other Support Format Page

- NIH expects applicants and recipients to use the updated Biosketch and Other Support format for applications, Just-in-Time (JIT) Reports, and Research Performance Progress Reports (RPPRs).

- NIH implemented the require the use of the updated format pages on and after January 25, 2022.
  - Electronic signatures (either flattened (print to pdf) signature or DocuSign signature) and supporting documentation (e.g. copies of agreements with foreign entities) is required beginning January 25, 2022.
  - Failure to follow the appropriate formats on or after January 25, 2022, may cause NIH to withdraw applications from or delay consideration of funding.

UCI Guidance: https://research.uci.edu/sponsored-projects/proposal-submission/nih-biosketch-and-other-support/
Reminder: FORMS-G Grant Application Forms & Instructions Must be Used for Due Dates On or After January 25, 2022

- FORMS-G application packages must be used for due dates on or after January 25, 2022

  - **FORMS-G Grant Application Instructions are now posted on the How to Apply - Application Guide page.**
    - All significant changes to form instructions are published.
  - On February 10, the Human Subjects System (HSS) will transition to using the FORMS-G version of the post-submission Human Subjects Clinical Trial (HSCT) form
  - FORMS-G application packages have been posted to all active Funding Opportunity Announcements (FOAs).
  - NOTE: Application due dates remain unchanged.
Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel

• An eRA Commons ID must be entered in the “Credential, e.g. agency login” field for all Senior/Key Personnel listed on the R&R Senior/Key Person Profile (Expanded) Form

• Senior/Key Personnel (NIH defined in NIH GPS 1.2)
  • The PD/PI and other individuals who contribute to the scientific development or execution of a project in a substantive, measurable way, whether or not they receive salaries or compensation under the grant.

• eRA system validation will provide a warning if the “Credential, e.g. agency login” field is blank or does not contain a valid eRA Commons ID.
Update- Adjusted Timeline for Requiring Two-Factor Authentication to Access eRA Modules Using Login.gov or InCommon Federated Accounts

- As of Sept. 15, 2021, eRA users are now required to transition to the use of the two-factor authentication service provider Login.gov.

- Timeline:
  - All PIs and key personnel associated with an application or Research Performance Progress Report (RPPR) are required to transition to the use of two-factor authentication **45 days** after the submission of their competing grant application (Type 1 or 2) or their RPPR.
  - This approach currently is only applicable to all scientific account holders but excludes administrative accounts until early-to-mid 2022. (See also eRA Commons User Roles)

- See step-by-step instructions, FAQs, video tutorials and more related to Login.gov at the [Access eRA Modules via Login.gov](#) webpage.

- For further information about using an InCommon Federated account see the [Access eRA Modules Via an InCommon Federated Account](#) webpage.

NOT-OD-21-040, NOT-OD-21-172
Questions?
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- Groups of 5+
- 5 minutes
- Introductions:
  - Name
  - Department
  - Your favorite hobby outside of work
Research Engagement and Compliance (REC)

Grace Park
Questions?
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Contracts & Grants Accounting

Beata Najman
Payroll Certification Office Hours

- Wednesday, April 6th, 2022 from 2 p.m. to 3 p.m.
- Wednesday, May 4th, 2022 from 2 p.m. to 3 p.m.
- Thursday, June 2nd, 2022 from 11 a.m. to 12 p.m.
- Please join us at any time using the following link:
  https://uci.zoom.us/my/carolidk
Questions?
Breakouts

• Groups of 5+
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• Introductions:
  – Name
  – Department
  – Favorite part of your job
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Human Research Protections (HRP)

Jessica Sheldon
Questions?
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ERA Updates

Barbara Inderwiesche and Jonathan Lew
ERA Office Hours

• Every Wednesday, 11:00AM
• https://uci.zoom.us/my/jonlew
• For special office hours topics of interest, submit this form
  – KR Proposal Development
  – KR Protocols
  – Use of Contracts/Grants Ad Hoc Query
  – Personnel Awards Credit
Current and Pending Tools

• DW Query
  – *Uses*: to query PIs only, specific list, proposal data
  – [Instructions on using Contracts/Grants Ad Hoc Query](#)
  – Active Awards: use Award End Date \( \geq \) today’s date

  ![Diagram of DW Query with checkboxes for Award Start Date, Award End Date, Award Finalize Date, Award Obligated Direct Cost, Award Obligated F&A Cost, Award Obligated Total Cost, Proposal Funded Flag, Status Description, and Subaward Flag]

  – Pending Proposals: use Status Description “Pending”
Current and Pending Tools

- **Personnel Awards Credit by Role**
  - *Uses*: more than 10 personnel; PIs, Co-PIs and Key Persons
  - *Project Active Date*
KR Protocols – what is “transcription”?

- In biology, **the process by which a cell makes an RNA copy of a piece of DNA**. This RNA copy, called messenger RNA (mRNA), carries the genetic information needed to make proteins in a cell.

- an arrangement of a piece of music for a different instrument, voice, or number of these. "a transcription for voice and lute"

- the action or process of transcribing something. "the funding covers transcription of nearly illegible photocopies"
KR Protocols – what is “transcription”?

• Manual transfer of protocol data from previous system(s)* to KR Protocols

*IRB-App, CPA, E-Mod, Word documents, PDFs, Human Protocol System (HPS)

• Why?
  – Complete and accurate protocol data in one place.
  – No automated way to transfer data from previous system(s) to KR Protocols.
  – Resulted in incomplete protocols where, without transcription, researchers would encounter errors when initiating a subsequent transaction.

• “Please update” fields and sections for data that is missing or needs attention
KR Protocols – what is “transcription” status?

• September 2021:
  – ~3000 protocols requiring transcription at KR Protocols launch

• October 2021:
  – Secured temporary resources
    • (Temporary / Terrific Transcription Team – TTT)

• March 2022
  – Only ~375 left!!!

• April / May 2022
  – Transcription of active IRB/hSCRO protocols will be complete.
KR Protocols: ERA or IRB?

• KRP technical help, unknown validation errors, where / how to initiate a transaction in KRP, report requests
  – These are questions for ERA era@research.uci.edu

• Status of protocol review / approval, questions on Action Items, how to respond to question in KRP
  – These are questions for IRB IRB@uci.edu
KR Protocols

• Chair approval required for new submissions
  – ERA adds Chair as part of triage process
  – Email notification sent
  – Chair sign-off required prior to IRB approval

• Validation checks
  – Known issue
  – Kuali is pushing out fix soon
    • Highlighting missed fields and validation errors
    • Running validation upon submit rather than every question
      – Will result in performance increase
ICTS Question in KR Proposal Development

• KR PD: ICTS question will removed
Unique Entity Identifier (UEI)

• Use of the UEI instead of DUNS on many federal applications
• Transition has started
  – More and more federal agencies are implementing the switch

Institutional Information
MJC5FCYQTPE6
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Join us next time!

June 2022, date TBD

Do you have a topic you want to hear about?
Do you have a topic that you want to share?
email era@research.uci.edu