

Quarterly Research Administration Meeting

March 23, 2022

Agenda

- Welcome
- ***Oracle Cloud Financials - Exploratory Phase***
- Area Updates:
 - Sponsored Projects Administration
 - Research Engagement and Compliance
 - Contracts & Grants Accounting
 - Human Research Protections
 - Electronic Research Administration
- Q&A and Closing

Breakouts

- Groups of 5+
- 5 minutes
- Introductions:
 - Name
 - Department
 - Why you love QRAM

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SPA Update

Nancy Lewis

SPA Staff Update

- Maria Diaz Romero has been promoted to Supervisor of the Federal Contracts and Grants Team. Congratulations Maria!

Federal Update

NSF Update

Proposal & Award Policies & Procedures Guide (PAPPG) – Anticipated Timeline

- Federal Register posting for public comment
 - Early Spring 2022
- PAPPG issuance
 - October 2022
- PAPPG becomes effective
 - January 2023




Goodbye FastLane Proposal Preparation...Hello Research.gov

- FastLane is targeted to be removed as a submission option from all funding opportunities **when the new Proposals and Awards Policies and Procedures Guide (PAPPG) goes into effect January 2023.**
- Most funding opportunities are currently supported in Research.gov
- Funding opportunities clearly specify whether submission via Research.gov is available or required

Division of Environmental Biology

PROGRAM SOLICITATION
NSF 22-541

REPLACES DOCUMENT(S):
NSF 21-504

 National Science Foundation
Directorate for Biological Sciences
Division of Environmental Biology

Full Proposal Deadline(s):
Proposals Accepted Anytime

IMPORTANT INFORMATION AND REVISION NOTES

The Bridging Ecology and Evolution (BEE) special category is no longer part of this solicitation. Proposals that combine ecological and evolutionary approaches to better understand the functional role of biodiversity can be submitted to the new "Biodiversity on a Changing Planet" solicitation (NSF 22-508).

This revision includes new details on the required contents of the Data Management Plan.

Innovating and migrating proposal preparation and submission capabilities from FastLane to Research.gov is part of the ongoing NSF information technology modernization efforts, as described in [Important Notice No. 147](#). In support of these efforts, proposals submitted in response to this program solicitation must be prepared and submitted via Research.gov or via Grants.gov and may not be prepared or submitted via FastLane.

Any proposal submitted in response to this solicitation should be submitted in accordance with the *NSF Proposal & Award Policies & Procedures Guide (PAPPG)*.

**IMPORTANT INFORMATION AND REVISION NOTE
ABOUT RESEARCH.GOV PROPOSAL PREPARATION:**

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Research.gov Proposal Training Resources

Training resources on the Research.gov About Proposal and Submission page:

- FAQs by topic
- [Links to video tutorials including 16-minute demo video highlighting key proposal preparation features and preparing proposal file updates/budget revisions](#)
- [Link to Automated Compliance Checking of NSF Proposals page to view current Research.gov proposal compliance checks](#)
- [Links to topic-specific how-to guides \(e.g., Initiating a Proposal and Sharing Access with Sponsored Projects Office/Authorized Organizational Representative\)](#)

Research.gov About Proposal Preparation and Submission Page

About Proposal Preparation and Submission

The Research.gov Proposal Submission System modernizes proposal preparation and submission capabilities by improving the user experience while also reducing administrative burden through an intuitive interface and expanded automated proposal compliance checking. Research.gov is being developed incrementally, and features are expanding to support the transition of all proposal preparation and submission functionality from FastLane to Research.gov by a target date of December 31, 2022. Please see NSF Important Notice 147: Research.gov Implementation Update (L) issued September 22, 2020, for additional information. Grants.gov continues to be an option for the preparation and submission of most types of NSF proposals.

[Access the Research.gov Proposal Submission System](#)

[Explore the Research.gov Proposal Preparation Demo Site](#)

Why Prepare Proposals in Research.gov?

- Fast and easy Proposal Setup Wizard
- Quickly find funding opportunities, initiate a proposal, and give access to administrative staff
- Expanded compliance checks (View Research.gov compliance checks (L))
- Immediate compliance feedback upon proposal section uploads
- PDF uploads are not altered
- Minimize Return without Reviews due to some formatting issues
- On-screen references to relevant sections of the *Proposal & Award Policies & Procedures Guide (PAPPG)*
- Better management of personnel and subawards
- Improved performance and less system downtime

Help NSF Build the New System and Influence the Future of Proposal Submission

NSF strongly encourages the research community to use Research.gov for proposal preparation and submission. As NSF continues to enhance the new system, vital feedback from the community is being incorporated during the development process.

There are multiple ways to provide feedback and stay informed:

- Send feedback to NSF via Research.gov's [Feedback page](#)
- Participate in an NSF Electronic Research Administration (ERA) Forum Webinar (L)
- Join our listserv! Sign up to receive Research.gov updates by sending a blank email to system_updates-subscribe-request@listserv.nsf.gov

When to Use Research.gov

You may prepare your proposal in Research.gov if:

- 1 You are preparing a proposal in response to a Program Description
- 2 Your Program Solicitation specifies submission via Research.gov is available or required or your Program Solicitation requires a Letter of Intent or Preliminary Proposal
- 3 Your proposal is a full proposal, renewal, or accomplishment-based renewal, OR
- 4 Your proposal is one of the following types:
 - Research
 - Planning (Research.gov submission required)
 - RAPID
 - EAGER
 - RAISE
 - GOALI
 - Ideas Lab
 - FASED
 - Conference
 - Equipment
 - Travel
 - Center
 - Research Infrastructure

Both single submission and collaborative proposals are supported. View all supported features on the Proposal Submission Capabilities page.

NIH Update

NIH/DHHS Salary Limitation Increase

- Salary limitation on direct salary for individuals under NIH grants, contracts and cooperative agreement awards has increased to the **current Executive Level II salary of \$203,700** effective January 2, 2022.
- Applicable to the agencies under the Department of Health and Human Services (DHHS), including, Agency for Healthcare Research and Quality (AHRQ) and the Substance Abuse and Mental Health Services Administration (SAMHSA).
- **For UCI, this increase becomes effective for pay periods beginning February 1, 2022.**

Publication of the Revised NIH GPS for Fiscal Year 2022

- Update is applicable to all NIH grants and cooperative agreements with budget periods beginning **on or after October 1, 2021**.
 - Previous versions of the NIH GPS remain applicable as standard terms and conditions of award for all NIH grants and cooperative agreements with budget periods that began prior to October 1, 2021.
- NIH will continue to publish interim grants policy changes and/or clarifications through the issuance of NIH Guide Notices, available [here](#).

See details: [NOT-OD-22-050](#)

Publication of the Revised NIH GPS for Fiscal Year 2022 – Significant Changes Table

- As is standard, [a significant changes table](#) was published alongside the GPS update.
 - The full table is linked, with a few highlighted changes below:
 - Language related to updated Other Support and Biosketch requirements and links to instructions and resources were added to Sections 2.3.7.12: Biographical Sketches, 2.5.1: Just-in-Time, and 4.1.10: FCOI.
 - Language about the NRSA Childcare Cost initiative was added to Chapter 11: Ruth L. Kirschstein National Research Service Awards.
 - Clarifying language related to sIRB was added to Section 4.1.15.10: NIH Policy on the Use of Single Institutional Review Board.
 - Language was added related to application requirements, enhancing diversity, review, and reporting requirements for Conference Grants in Chapter 14.

See details: [NOT-OD-22-050](#)
and [significant changes table](#)

NRSA Childcare Costs: F's and T's

- NIH began providing childcare support to recipients of NRSA fellowships on April 8, 2021.
 - Applies to full-time NIH-NRSA-supported fellowship positions.
 - Each fellow is eligible to receive \$2,500 per budget period. For households where both parents are NRSA fellows, each parent is eligible to receive \$2,500.
- NIH also began providing childcare support to recipients of NRSA training grants awarded (continuation, new, or renewal) in FY 2022.
 - For eligible Training Grants, childcare costs will be provided, unless otherwise specified on the notice of award, based on the number of trainee slots awarded.
 - **No additional action is needed at the time of application to request these funds.**

The Office of Research, Accounting and Graduate Division are working on a reimbursement process that will be announced soon. In the meantime, please contact Nancy Lewis if you need to reimburse fellows/trainees for childcare costs.

F's: [NOT-OD-21-074](#)

T's: [NOT-OD-21-177](#)

Implementation of Changes to the Biographical Sketch and Other Support Format Page

- NIH expects applicants and recipients to use the updated Biosketch and Other Support format for applications, Just-in-Time (JIT) Reports, and Research Performance Progress Reports (RPPRs)
- NIH implemented the require the use of the updated format pages on and after **January 25, 2022**
 - Electronic signatures (**either flattened (print to pdf) signature or DocuSign signature**) and supporting documentation (**e.g. copies of agreements with foreign entities**) is required beginning **January 25, 2022**
 - Failure to follow the appropriate formats on or after January 25, 2022, may cause NIH to withdraw applications from or delay consideration of funding.

UCI Guidance: <https://research.uci.edu/sponsored-projects/proposal-submission/nih-biosketch-and-other-support/>

Reminder: FORMS-G Grant Application Forms & Instructions Must be Used for Due Dates On or After January 25, 2022

- FORMS-G application packages must be used for due dates on or after January 25, 2022
- [FORMS-G Grant Application Instructions are now posted on the How to Apply - Application Guide page.](#)
 - All [significant changes to form instructions are published.](#)
- On February 10, the Human Subjects System (HSS) will transition to using the FORMS-G version of the post-submission Human Subjects Clinical Trial (HSCT) form
- FORMS-G application packages have been posted to all active Funding Opportunity Announcements (FOAs).
- NOTE: Application due dates remain unchanged.

Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel

- An eRA Commons ID must be entered in the “Credential, e.g. agency login” field for all Senior/Key Personnel listed on the R&R Senior/Key Person Profile (Expanded) Form
- Senior/Key Personnel (NIH defined in [NIH GPS 1.2](#))
 - The PD/PI and other individuals who contribute to the scientific development or execution of a project in a substantive, measurable way, whether or not they receive salaries or compensation under the grant.
- eRA system validation will provide a warning if the “Credential, e.g. agency login” field is blank or does not contain a valid eRA Commons ID.

[NOT-OD-21-109](#)

Update- Adjusted Timeline for Requiring Two-Factor Authentication to Access eRA Modules Using Login.gov or InCommon Federated Accounts

- As of Sept. 15, 2021, eRA users are now required to transition to the use of the two-factor authentication service provider Login.gov.
- Timeline:
 - All PIs and key personnel associated with an application or Research Performance Progress Report (RPPR) are required to transition to the use of two-factor authentication **45 days** after the submission of their competing grant application (Type 1 or 2) or their RPPR.
 - This approach currently is only applicable to all [scientific account](#) holders but excludes [administrative accounts](#) until early-to-mid 2022. (See also [eRA Commons User Roles](#))
- See step-by-step instructions, FAQs, video tutorials and more related to Login.gov at the [Access eRA Modules via Login.gov](#) webpage.
- For further information about using an InCommon Federated account see the [Access eRA Modules Via an InCommon Federated Account](#) webpage.

[NOT-OD-21-040](#), [NOT-OD-21-172](#)

Questions?

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Breakouts

- Groups of 5+
- 5 minutes
- Introductions:
 - Name
 - Department
 - Your favorite hobby outside of work

Research Engagement and Compliance (REC)

Grace Park

Questions?

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Contracts & Grants Accounting

Beata Najman

Payroll Certification Office Hours

- Wednesday, April 6th, 2022 from 2 p.m. to 3 p.m.
- Wednesday, May 4th, 2022 from 2 p.m. to 3 p.m.
- Thursday, June 2nd, 2022 from 11 a.m. to 12 p.m.
- Please join us at any time using the following link:

<https://uci.zoom.us/my/carolidk>

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- Introductions:
 - Name
 - Department
 - Favorite part of your job

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Human Research Protections (HRP)

Jessica Sheldon

Questions?

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ERA Updates

Barbara Inderwiesche and Jonathan Lew

ERA Office Hours

- Every Wednesday, 11:00AM
- <https://uci.zoom.us/my/jonlew>
- For special office hours topics of interest, submit [this form](#)
 - KR Proposal Development
 - KR Protocols
 - Use of Contracts/Grants Ad Hoc Query
 - Personnel Awards Credit

Current and Pending Tools

- DW Query
 - *Uses:* to query PIs only, specific list, proposal data
 - [Instructions on using Contracts/Grants Ad Hoc Query](#)
 - Active Awards: use Award End Date \geq today's date

✓ Award Start Date equal to

✓ Award End Date equal to

✓ Award Finalize Date

✓ Award Obligated Direct Cost

✓ Award Obligated F&A Cost

✓ Award Obligated Total Cost

- Pending Proposals: use Status Description “Pending”

✓ Proposal Funded Flag list of values [Y] Yes [N] No

✓ Status Description list of values Deactivated Funded Held for Funds Availability Pending Rejected Void Withdrawn Do Not Use -- Revision Required

✓ Subaward Flag list of values [Y] Yes [N] No

Current and Pending Tools

- [Personnel Awards Credit by Role](#)
 - Uses: more than 10 personnel; PIs, Co-PIs and Key Persons
 - Project Active Date

The screenshot shows the UCI Office of Research website interface for selecting report criteria. The 'Project Active Date' section is highlighted with a red circle. Below it, the 'Personnel Name(s)' section is visible, including a 'Keywords' input field, a 'Search' button, and a 'Results' list area with 'Insert' and 'Remove' buttons.

UCI Office of Research

Please select the report criteria:

Project Active Date

* Mar 22, 2022

Personnel Name(s)

Keywords:
Type one or more keywords separated by spaces.

Search

Options

Results:

Insert

Remove

KR Protocols – what is “transcription”?

- In biology, **the process by which a cell makes an RNA copy of a piece of DNA**. This RNA copy, called messenger RNA (mRNA), carries the genetic information needed to make proteins in a cell.
- an arrangement of a piece of music for a different instrument, voice, or number of these. *"a transcription for voice and lute"*
- the action or process of transcribing something. *"the funding covers transcription of nearly illegible photocopies"*

KR Protocols – what is “transcription”?

- Manual transfer of protocol data from previous system(s)* to KR Protocols

**IRB-App, CPA, E-Mod, Word documents, PDFs, Human Protocol System (HPS)*

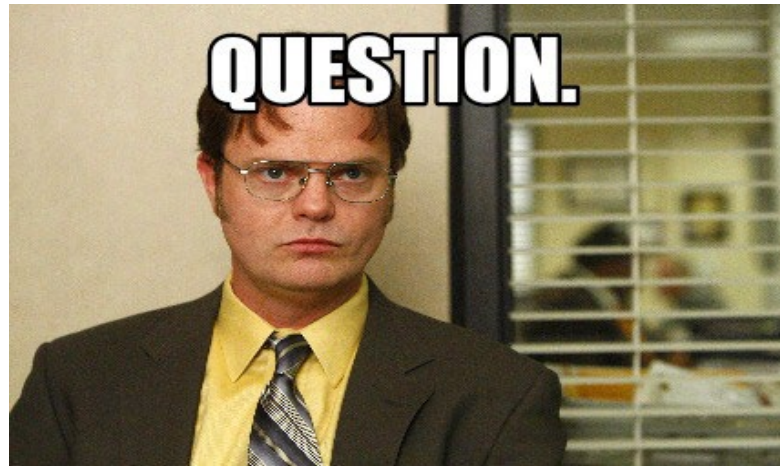
- *Why?*
 - *Complete and accurate protocol data in one place.*
 - *No automated way to transfer data from previous system(s) to KR Protocols.*
 - *Resulted in incomplete protocols where, without transcription, researchers would encounter errors when initiating a subsequent transaction.*
- “Please update” fields and sections for data that is missing or needs attention

KR Protocols – what is “transcription” status?

- September 2021:
 - ~3000 protocols requiring transcription at KR Protocols launch
- October 2021:
 - Secured temporary resources
 - (Temporary / Terrific Transcription Team – TTT)
- March 2022
 - Only ~375 left!!!
- April / May 2022
 - Transcription of active IRB/hSCRO protocols will be *complete*.

KR Protocols: ERA or IRB?

- KRP technical help, unknown validation errors, where / how to initiate a transaction in KRP, report requests
 - These are questions for ERA era@research.uci.edu



- Status of protocol review / approval, questions on Action Items, how to respond to question in KRP
 - These are questions for IRB IRB@uci.edu

KR Protocols

- Chair approval required for new submissions
 - ERA adds Chair as part of triage process
 - Email notification sent
 - Chair sign-off required prior to IRB approval
 - Reference: [KR Protocols User Guide](#) for instructions and video demonstration for Department Chair Sign-Off
- Validation checks
 - Known issue
 - Kuali is pushing out fix soon
 - Highlighting missed fields and validation errors
 - Running validation upon submit rather than every question
 - Will result in performance increase

ICTS Question in KR Proposal Development

- KR PD: ICTS question will be removed

Questionnaire

✓ Compliance

Attachments

Budget

Access

Supplemental Information

Summary/Submit

Super User Actions

Notifications History

1 General Questionnaire ✓ 2 Clinical Trial ✓ 3 Compliance ✓ 4 EH&S ✓

1 General Questionnaire (Incomplete)

Is this project related to SARS-CoV-2 / COVID-19 (Coronavirus)? ⓘ
☐ Yes
☐ No

Is this proposal in response to an SBIR or STTR solicitation? ⓘ
☐ Yes
☐ No

Are you planning to use any ICTS facilities and/or resources in the conduct of this research project? If yes, contact Andria Pontello . ⓘ

☐ Yes
☐ No

Will this research need or use any IT systems, hardware, software, data management, or any other IT infrastructure or services? ⓘ
☐ Yes
☐ No

Unique Entity Identifier (UEI)

- Use of the UEI instead of DUNS on many federal applications
- Transition has started
 - More and more federal agencies are implementing the switch

[Institutional Information](#)

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Join us next time!

June 2022, date TBD

Do you have a topic you want to hear about?

Do you have a topic that you want to share?

email era@research.uci.edu
