In accordance with §200.331 of the Uniform Guidance, UCI must determine, on a case by case basis, whether the entity receiving federal funds is a subrecipient or a contractor. Please have UCI’s Principal Investigator complete and submit this form to Sponsored Projects via Kuali Research for proposals which include direct costs for a potential subaward or subcontract to a third party (not required for subawards/subcontractors to another UC campus). Please direct any questions to your assigned contract and grant officer.

Subrecipient / Contractor Name: Click here to enter text.

Project Title: Click here to enter text.

Prime Sponsor (i.e. Name of Prime Agency): Click here to enter text.

UCI PI: Click here to enter text.

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| --- |
| **Check all that apply****Subrecipient:**[ ] Performance represents an intellectually significant portion of the overall programmatic effort and is measured against the objectives of the program.[ ] There is an identified principal investigator for the subrecipient who has responsibility for making programmatic decisions.[ ] Work could result in the development of intellectual property.[ ] Is expected to author or co-author publications on the results of its work.[ ] Will need animal and/or human subject approval for its work. [ ] Provides cost sharing or matching funds.[ ] Is responsible for adhering to applicable program requirements specified in the prime award.[ ] Will use the funds to carry out a program for a public purpose, as opposed to providing goods or services for the benefit of UCI.**Contractor:**[ ] Provides the goods or services purchased with the funds within its normal business operations.[ ] Provides similar goods or services to many different purchasers.[ ] Performs a series of repetitive tests or activities requiring little or no discretionary judgment.[ ] Normally operates in a competitive environment.[ ] Provides goods or services that are ancillary to the operation of the program.[ ] Is not subject to the compliance requirements of the program as a result of the agreement with UCI (although similar requirements may apply for other reasons). |

All of the characteristics listed above might not be present in all cases. Therefore, judgment must be used in classifying the agreement as either a subaward or a contract. In determining whether the agreement is a subaward or a contract, the substance of the relationship is more important than the form or name of the agreement.

Based on my analysis of the above, the organization is a:

**Subrecipient**: [ ]  **Contractor**: [ ]

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UCI Principal Investigator Signature Date

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UCI Principal Investigator Name (Please Print)