

FWA #: FWA00004071
Institution: University of California, Irvine
Expires: 07/05/2021

OMB No. 0990-0278
Approved for use through August 31, 2017

Federalwide Assurance (FWA) for the Protection of Human Subjects

1. Institution Filing Assurance

Legal Name: University of California, Irvine
City: Irvine State/Province: CA Country: USA

2. Institutional Components

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (*) any alternate names under which the Institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below.

Name of Component or Alternate Names Used	City	State (or Country if Outside U.S.)	
UCI Campus	Irvine	CA	A
UCI Medical Center	Orange	CA	A
UCI Family Health Center	Santa Ana	CA	A
UCI Family Health Center	Anaheim	CA	A
UCI Women's Health Care Center	Costa Mesa	CA	A
Pacific Breast Care Center	Costa Mesa	CA	A
UCI Health Community Cancer Network - Newport Associates	Costa Mesa	CA	A

3. Statement of Principles

This Institution assures that all of its activities related to human subjects research, regardless of the source of support, will be guided by the following statement of principles governing the institution in the discharge of its responsibilities for protecting the rights and welfare of human subjects of research conducted at or sponsored by the institution. (indicate below)

The Belmont Report

4. Applicability

(a) This Assurance applies whenever this Institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

(b) Optional: This Institution elects to apply the following to all of its human subjects research regardless of the source of support, except for research that is covered by a separate assurance:

5. Assurance of Compliance with the Terms of the Federalwide Assurance

(a) This Institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance (contained in a separate document on the Office for Human Research Protections (OHRP) website).

6. Designation of Institutional Review Boards (IRBs)

This Institution assures that it will rely upon only IRBs registered with OHRP for review of research to which this FWA applies. This institution (a) designates the following internal IRB(s) for review of research under this Assurance; or (b) does not have an internal IRB and designates the following external IRB for review of all research to which this FWA applies or, if multiple external IRBs are relied upon, the following external IRB that reviews the largest percentage of research to which this FWA applies.

NOTE: Institutions designating internal IRBs do not need to designate any of the external IRBs upon which it relies.

HHS IRB Registration Number	Name of IRB as Registered with HHS	Is the IRB Internal or External to the Institution?
IRB00000008	National Insts Hlth - NICHD - IRB #8	E
IRB00000109	Harvard U Faculty Arts & Science IRB #1	E
IRB00000172	U of California Los Angeles-MIRB1 General Biomedical IRB #1 - General Biomedical	E
IRB00000173	U of California Los Angeles-MIRB2 Cancer/Infectious Disease IRB #2	E
IRB00000174	U of California Los Angeles-North General Social-Behavior IRB #3	E
IRB00000195	U of California Riverside IRB #1 - Social/Behavioral	E
IRB00000229	Parnassus Committee IRB #1	E
IRB00000230	San Francisco General Hosp (SFGH) Committee IRB #2	E
IRB00000266	U California Santa Cruz IRB #1	E
IRB00000307	U California Santa Barbara IRB #1	E
IRB00000353	U of California San Diego IRB #1B - Committee B	E
IRB00000354	U of California San Diego IRB #1A - Committee A	E
IRB00000355	U of California San Diego IRB #2 - Committee S	E
IRB00000393	U of California, Irvine IRB #1 - Biomedical	I
IRB00000394	U of California, Irvine IRB #2 - Biomedical	I
IRB00000395	U of California, Irvine IRB #3 - Social Behavioral	I
IRB00000403	Kaiser Foundation Southern California Region IRB #3	E
IRB00000425	Clinical Committee A - UC Davis	E
IRB00000426	Clinical Committee B - UC Davis	E
IRB00000455	U of California Berkeley IRB #1 - CPHS-I	E
IRB00000552	California Hlth & Human Services Agency IRB #1 - State Govt	E
IRB00000561	Lawrence Livermore Natl Lab IRB #1	E

IRB00000781	National Cancer Inst Central IRB #1 (Adult)	E
IRB00001166	Children's Hosp Orange County IRB #1 - In-House	E
IRB00001182	Children's Hosp Orange County IRB #2 - Industry Track	E
IRB00002758	U of California San Diego IRB #4 - Committee C	E
IRB00003023	Social & Behavioral Committee -C, UC Davis	E
IRB00003471	Laurel Heights Committee(Lhts) IRB #3	E
IRB00004296	National Cancer Inst Central IRB #2 (Pediatric)	E
IRB00004473	U of California Los Angeles-Neuroscience MIRB3 IRB #4	E
IRB00004474	U of California Los Angeles-South General IRB #5	E
IRB00005096	U of California San Francisco IRB #4 - Mt. Zion	E
IRB00005610	U of California Berkeley IRB #2 - CPHS-II	E
IRB00006282	U of California, Merced IRB #1	E
IRB00008624	University of California, Irvine (UCI) IRB #4	I

7. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)

First Name: Karen Middle Initial: A Last Name: Allen
 Degrees or Suffix: M.A., C.I.P. Institutional Title: Executive Director, Research Protections
 Institution: University of California, Irvine
 Telephone: 949 824-1558 FAX: 949 824-1465 E-Mail: kallen@uci.edu
 Address: Office of Research
 5171 California Avenue, Suite 150
 City: Irvine State/Province: CA Country: USA

8. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)

I have read and agree to the Terms of the Federalwide Assurance.

I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human subject protections will help ensure that the requirements of this Assurance are satisfied.

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) that this institution relies upon will comply with the Terms of the Federalwide Assurance when reviewing research covered by this Assurance and possess appropriate knowledge of the local context in which this Institution's research will be conducted.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: Pramod P Khargonekar Ph.D.

Date: 06/30/2016

First Name: Pramod Middle Initial: P Last Name: Khargonekar

Degrees or Suffix: Ph.D. Institutional Title: Vice Chancellor for Research

Institution: University of California, Irvine

Telephone: 949 824-5796 FAX: 949 824-2095 E-Mail: pkhargon@uci.edu

Address: Office of Research
160 Aldrich Hall

City: Irvine State/Province: CA Country: USA

9. FWA Approval

The Federalwide Assurance for the Protection of Human Subjects for Institutions Within the United States submitted to HHS by the above Institution is hereby approved.

Assurance Number: FWA00004071

Expiration Date: 07/05/2021

Signature of HHS Approving Official: Christina Lindsay

Date: 07/05/2016

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0990-0278 . The time required to complete this information collection is estimated to average 30 minutes per response, including the time to review instructions, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave., S.W., Suite 336-E, Washington D.C. 20201, Attention: PRA Reports Clearance